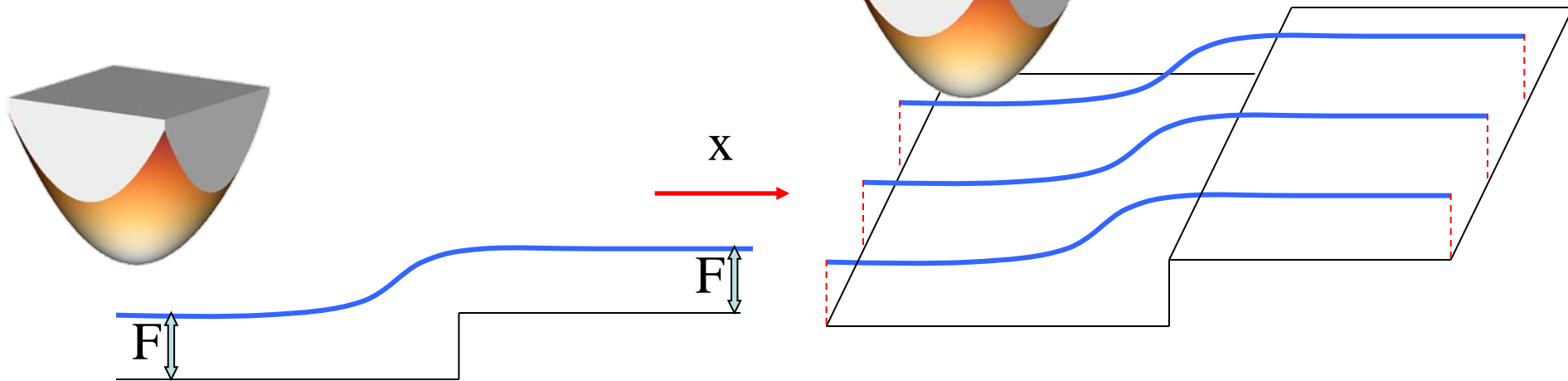
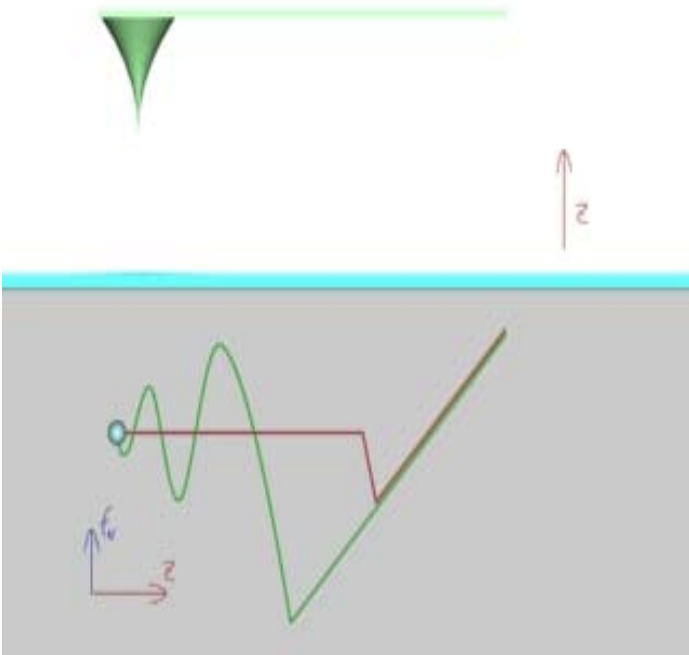


# Lecture 12

## Introduction to dynamic AFM - point mass approximation

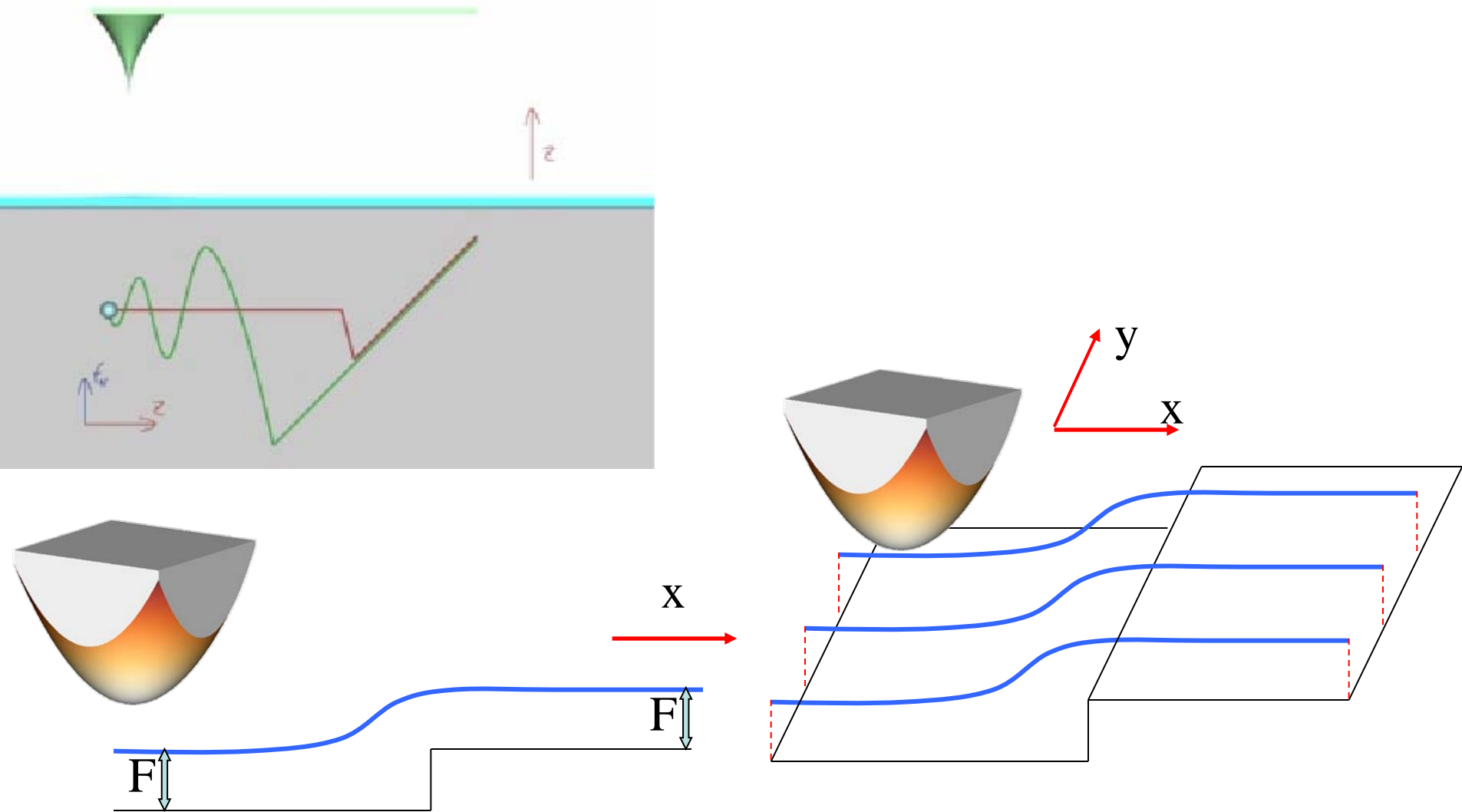
Arvind Raman  
*Mechanical Engineering  
Birck Nanotechnology Center*

# Contact Mode Imaging



First tip contacts surface with some setpoint normal force which is kept constant during the scan

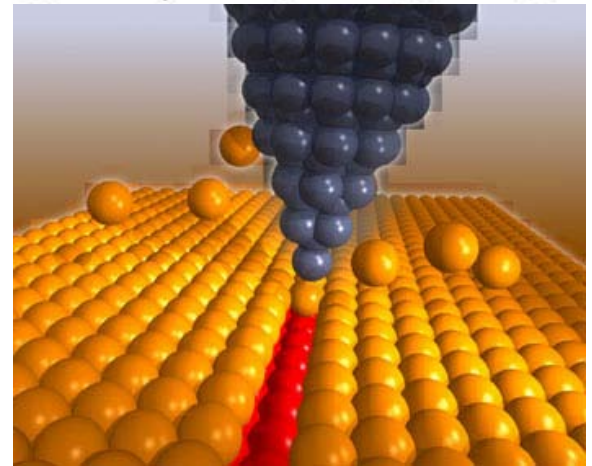
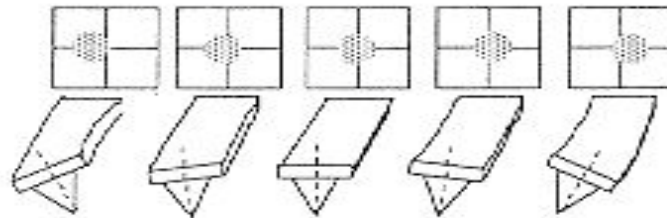
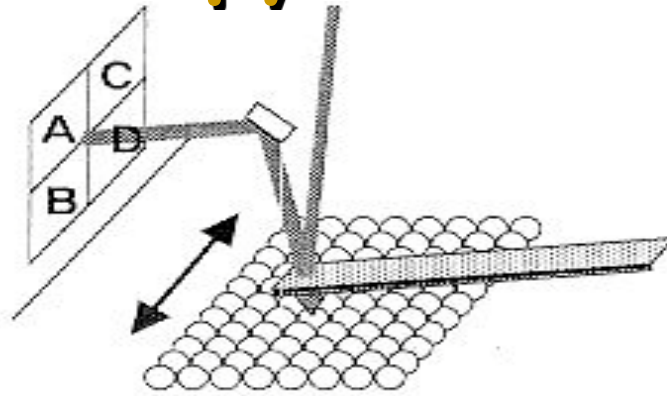
# Contact Mode Imaging



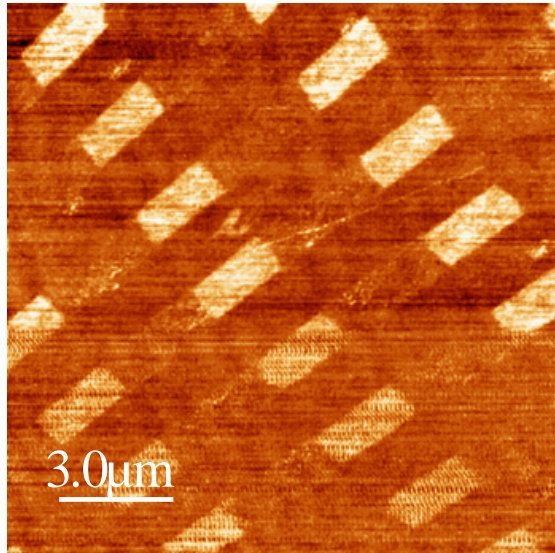
First tip contacts surface with some setpoint normal force which is kept constant during the scan

# Friction Force Microscopy

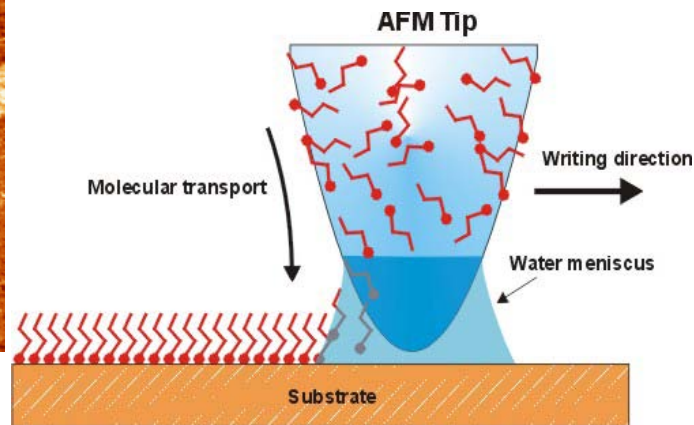
- Torsional deflections due to atomic and molecular friction
- Lateral forces are specific
- Applications to nanotribology, probe based lithography



Contact mode oxidation lithography

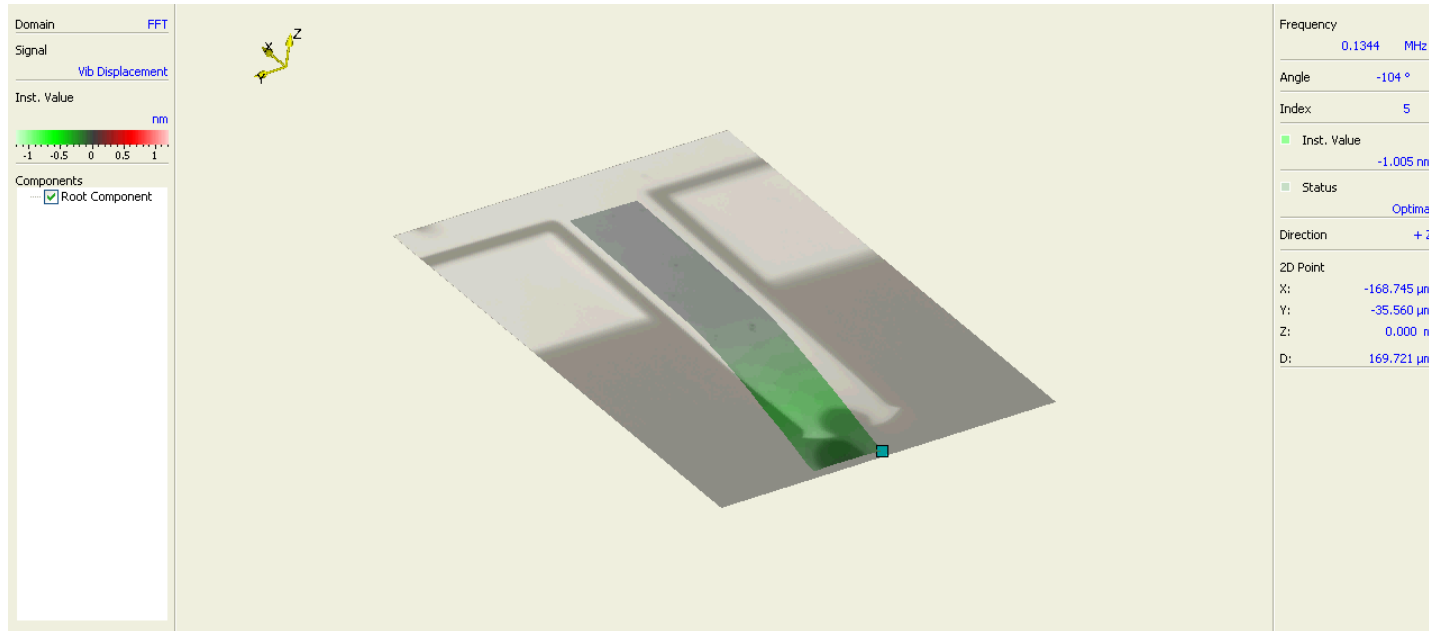


Friction force image of a self assembled monolayer (Riefenberger Group)



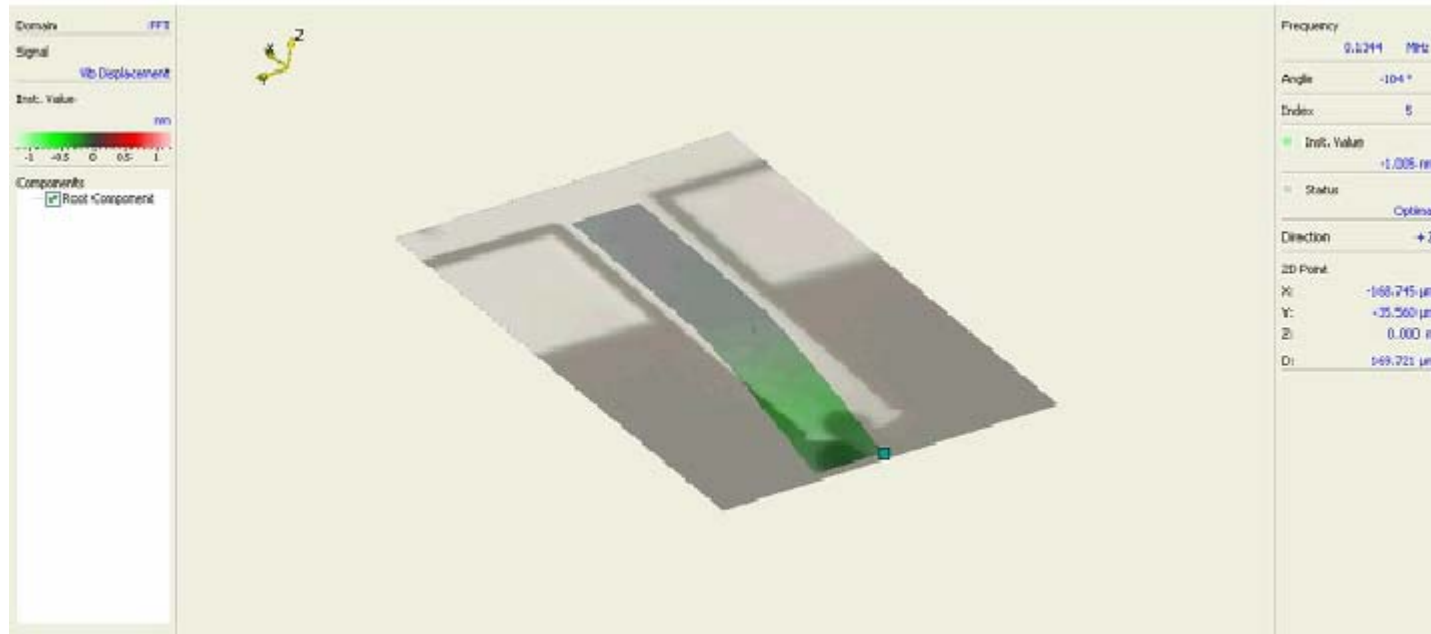
[www.chem.nwu.edu/~mkngrp/](http://www.chem.nwu.edu/~mkngrp/)  
Dip-pen lithography

# Dynamic AFM



- Cantilever driven near resonance
- The cantilever's resonant frequency, phase and amplitude are affected by short-scale force gradients
- In Amplitude Modulated AFM (AM-AFM) or tapping mode, driving frequency is fixed while cantilever approaches the sample
- In Frequency Modulated AFM (FM-AFM) the phase and amplitude are held constant while approaching the sample

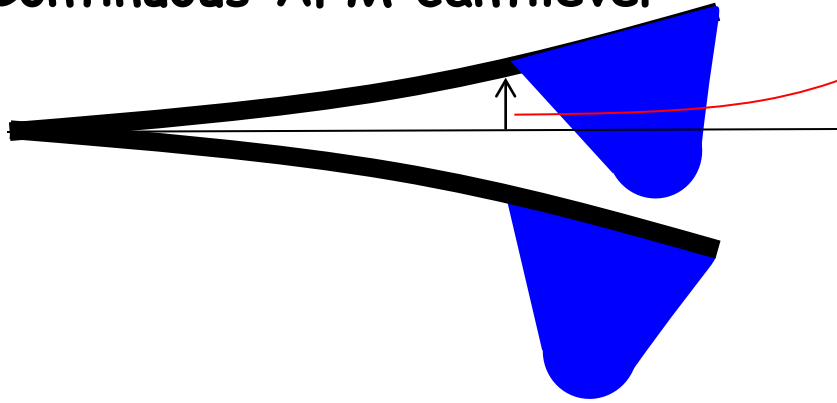
# Dynamic AFM



- Cantilever driven near resonance
- The cantilever's resonant frequency, phase and amplitude are affected by short-scale force gradients
- In Amplitude Modulated AFM (AM-AFM) or tapping mode, driving frequency is fixed while cantilever approaches the sample
- In Frequency Modulated AFM (FM-AFM) the phase and amplitude are held constant while approaching the sample

# The point mass model

Continuous AFM cantilever

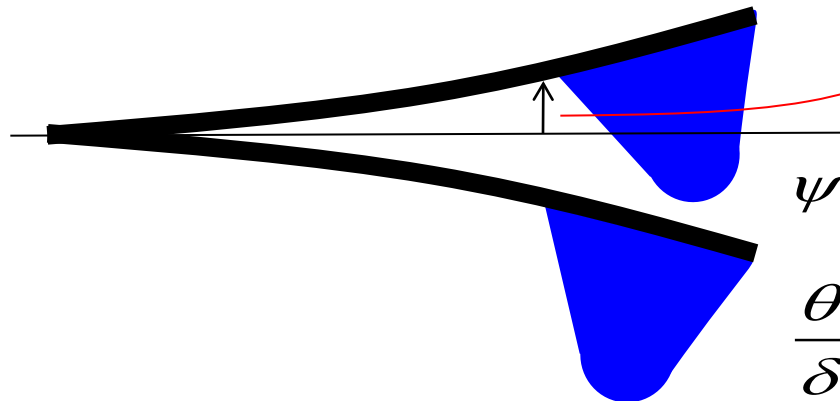


$$w(x,t) = A \sin(\omega t) \psi(x)$$

$$\theta/\delta = ?$$

$$\psi(x) = \cos\left(\beta \frac{x}{L}\right) - \cosh\left(\beta \frac{x}{L}\right) - \frac{\cos(\beta) + \cosh(\beta)}{\sin(\beta) + \sinh(\beta)} \left[ \sin\left(\beta \frac{x}{L}\right) - \sinh\left(\beta \frac{x}{L}\right) \right]$$

Point mass model



$$w(x,t) = A \sin(\omega t) \psi(x)$$

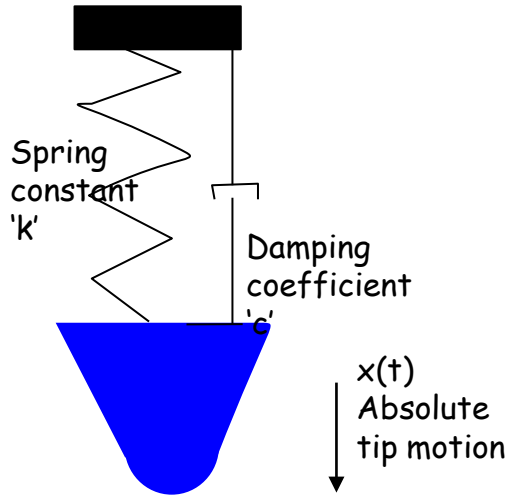
$$\psi(x) = -\frac{L^3}{6EI} \left(\frac{x}{L}\right)^3 + \frac{1}{2} \frac{L^3}{EI} \left(\frac{x}{L}\right)^2$$

$$\frac{\theta}{\delta} = \frac{2L}{3}$$

- Tip is massive, cantilever inertia negligible
- Replace cantilever by a spring of spring constant = static bending stiffness of lever
- Cantilever oscillates such that  $\theta/\delta = 2L/3$



# Point mass model - free oscillations



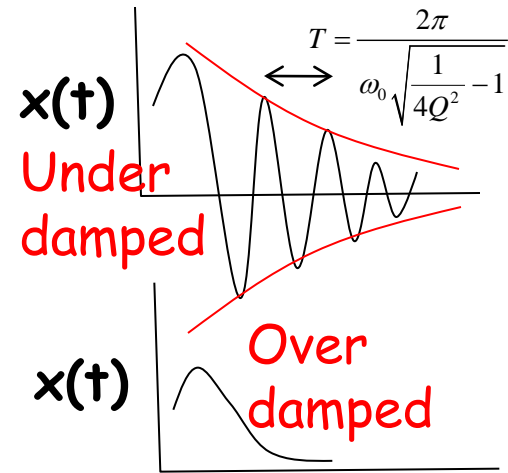
$$m\ddot{x} = -kx - c\dot{x}$$

$$\frac{\ddot{x}}{\omega_0^2} + x + \frac{1}{\omega_0 Q} \dot{x} = 0; \text{ with } \omega_0 = \sqrt{\frac{k}{m}}, Q = \frac{m\omega_0}{c} = \frac{\sqrt{mk}}{c}$$

General solution of type

$$x(t) = e^{\lambda t} \Rightarrow \frac{\lambda^2}{\omega_0^2} + 1 + \frac{\lambda}{\omega_0 Q} = 0 \Rightarrow \lambda_{1,2} = -\frac{\omega_0'}{2Q} \pm \omega_0 \sqrt{\frac{1}{4Q^2} - 1}$$

$x(t) = c_1 e^{\lambda_1 t} + c_2 e^{\lambda_2 t}$ , integration constants to be determined from initial conditions  $x(0), \dot{x}(0)$



$$x(t) = e^{-\frac{\omega_0 t}{2Q}} \left( x(0) \cos \left( \sqrt{1 - \frac{1}{4Q^2}} \omega_0 t \right) + \frac{\dot{x}(0) + \omega_0 \frac{x(0)}{2Q}}{\left( \sqrt{1 - \frac{1}{4Q^2}} \right) \omega_0} \cos \left( \sqrt{1 - \frac{1}{4Q^2}} \omega_0 t \right) \right)$$

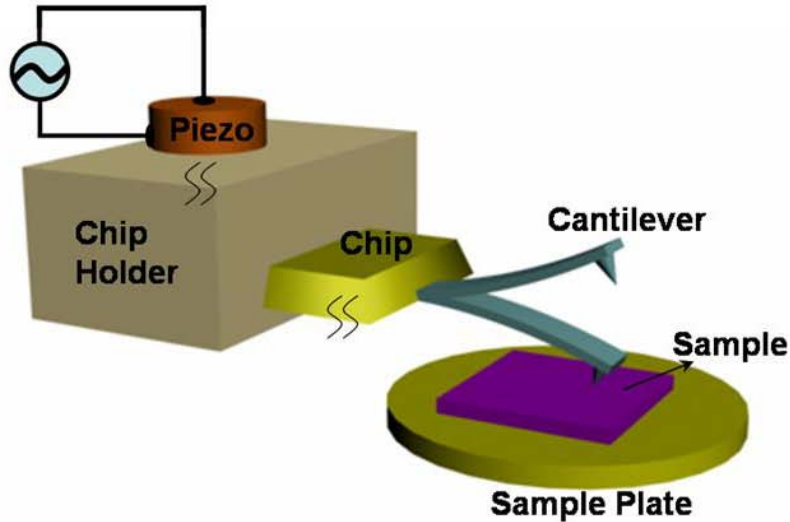
if  $Q > \frac{1}{2}$  Underdamped oscillation

$$x(t) = c_1 e^{\left( -\frac{\omega_0'}{2Q} + \omega_0 \sqrt{\frac{1}{4Q^2} - 1} \right) t} + c_2 e^{\left( -\frac{\omega_0'}{2Q} - \omega_0 \sqrt{\frac{1}{4Q^2} - 1} \right) t} \quad \text{if } Q < \frac{1}{2} \text{ Overdamped oscillation}$$

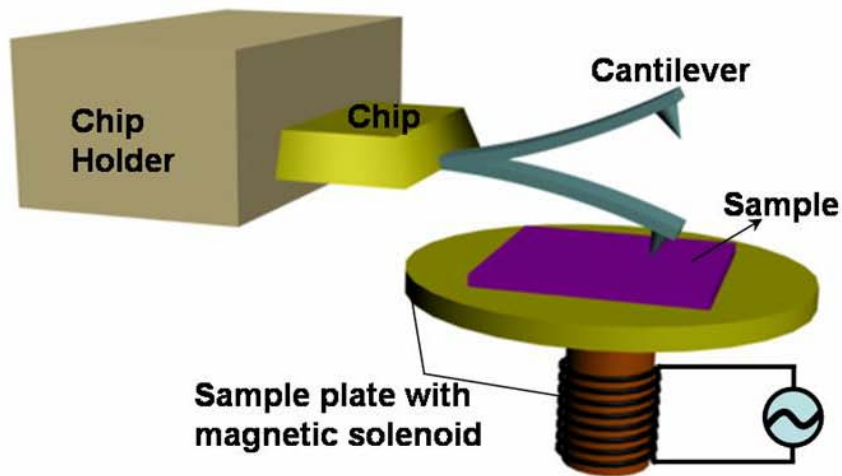
- Damped natural frequency is different from natural frequency
- Q can be regarded as number of oscillation cycles before transients become small



# Forced vibrations



a. Acoustic excitation

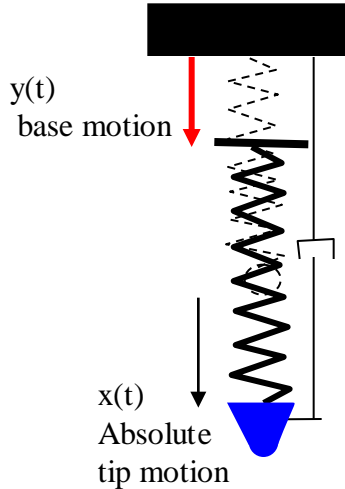


b. Magnetic excitation

- Mechanical (acoustic or piezo excitation)
- Magnetic excitation
- Magnetostrictive excitation
- Photothermal excitation
- Lorentz force excitation
- Ultrasound excitation
- Direct piezoelectric excitation

# Response of acoustically excited levers

$$m\ddot{x} = -k(x - y) - c\dot{x}$$



Acoustic  
(inertial or  
piezo)

$$\frac{\ddot{x}}{\omega_0^2} + x + \frac{1}{\omega_0 Q} \dot{x} = y(t); \text{ with } \omega_0 = \sqrt{\frac{k}{m}}, Q = \frac{m\omega_0}{c}$$

$$\text{Measured motion } z(t) = x(t) - y(t)$$

$$\frac{\ddot{z}}{\omega_0^2} + z + \frac{1}{\omega_0 Q} \dot{z} = -\frac{\ddot{y}}{\omega_0^2} - \frac{1}{\omega_0 Q} \dot{y}$$

$$y(t) = Y_0 \sin(\omega t)$$

$$z^p(t) = A \sin(\omega t - \phi_{inertial})$$

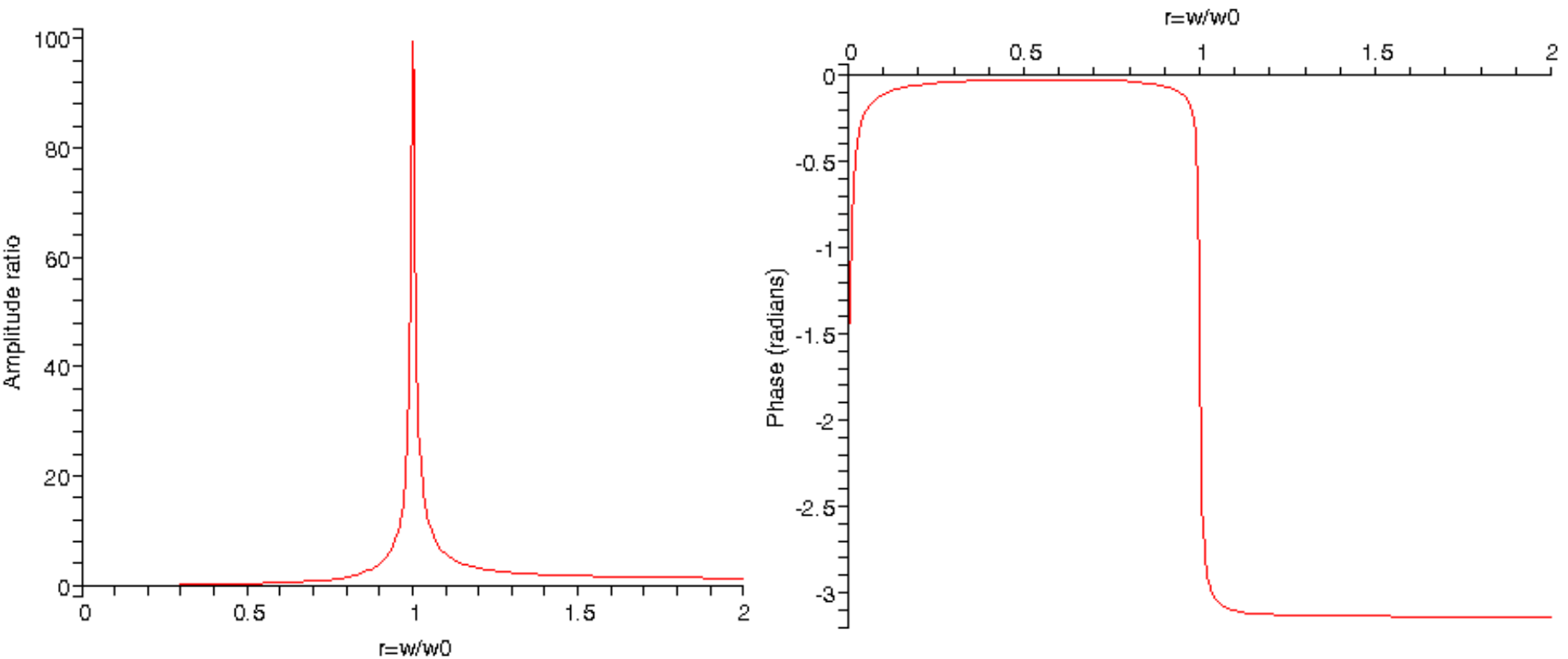
$$|H_{inertial}(\omega)| = \frac{A}{Y_0} = \left( \frac{r^4 + (r/Q)^2}{(1-r^2)^2 + (r/Q)^2} \right)^{1/2}$$

$$\phi_{inertial}(\omega) = \tan^{-1} \left( \frac{Q}{r(1+Q^2r^2 - Q^2)} \right)$$

$$\text{where } r = \frac{\omega}{\omega_0}$$

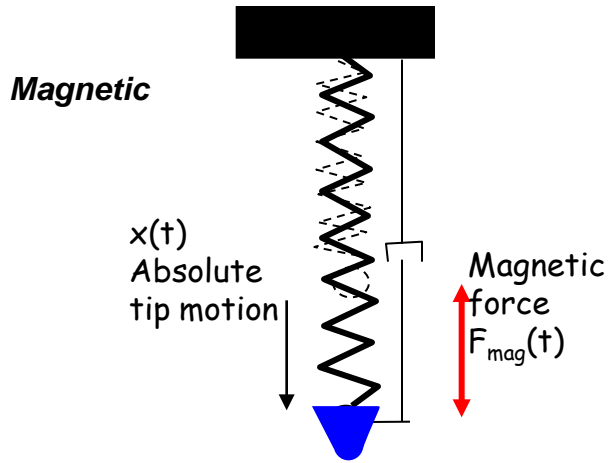
- $\omega_0$  is the natural freq,  $\omega$  is the drive freq
- Maximum amplitude occurs when  $\omega > \omega_0$ !
- Base motion amplitude at  $r=1$  is  $A/Q$ !

# Response of acoustically excited levers



- For  $Q=100$ , see response above
- Asymmetric peak, amplitude greater when  $\omega > \omega_0$

# Response of directly excited AFM levers



$$m\ddot{x} = -kx - c\dot{x} + F_{mag}(t)$$

$$\frac{\ddot{x}}{\omega_0^2} + x + \frac{1}{\omega_0 Q} \dot{x} = \frac{1}{k} F_{mag}(t); \text{ with } \omega_0 = \sqrt{\frac{k}{m}}, Q = \frac{m\omega_0}{c}$$

$$\text{Measured motion} = x(t)$$

$$F_{mag}(t) = F_0 \sin(\omega t)$$

$$x^p(t) = A \sin(\omega t - \phi_{magnetic})$$

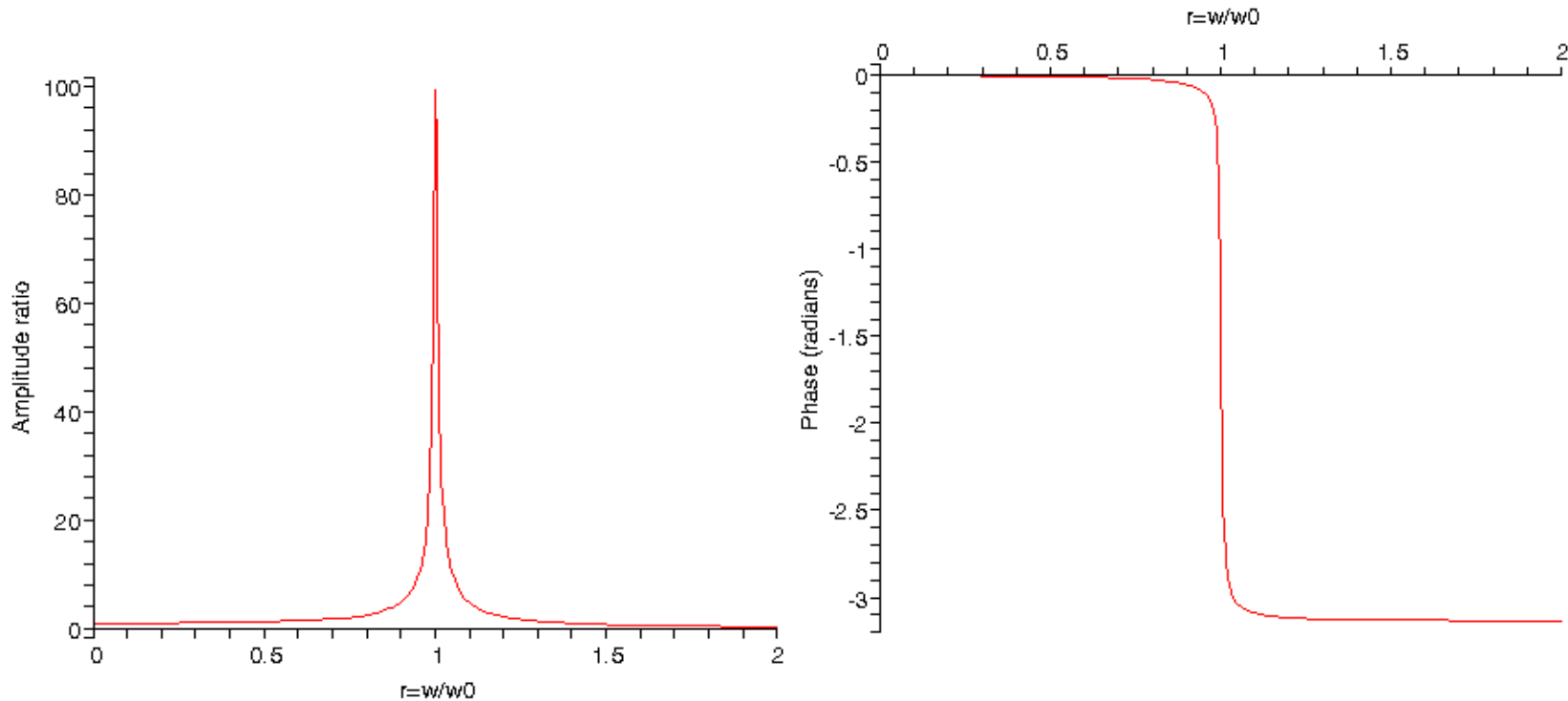
$$|H_{mag}(\omega)| = \frac{A}{F_0/k} = \left( \frac{1}{(1-r^2)^2 + (r/Q)^2} \right)^{1/2}$$

$$\phi_{mag}(\omega) = \tan^{-1} \left( \frac{r}{Q(r^2 - 1)} \right)$$

$$\text{where } r = \frac{\omega}{\omega_0}$$

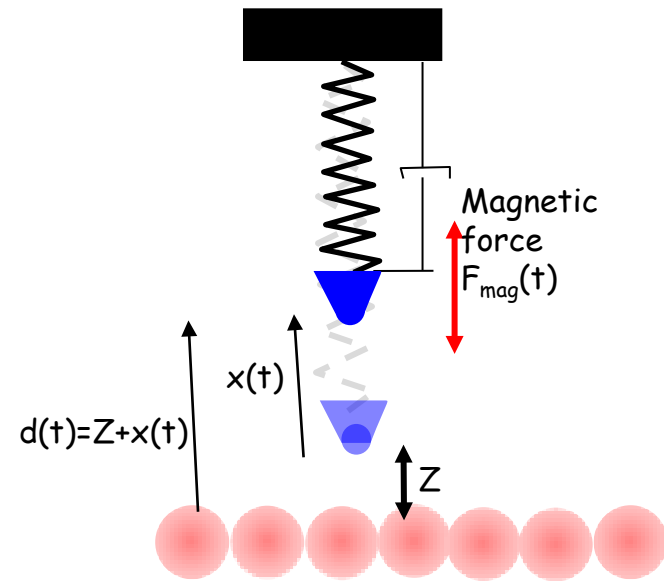
- $\omega_0$  is the natural freq,  $\omega$  is the drive freq
- Maximum amplitude occurs when  $\omega < \omega_0$ !
- For  $\omega \ll \omega_0$   $A = F_{mag}/k$ !

# Response of directly excited AFM levers



- Asymmetric response with greater amplitude when  $\omega < \omega_0$ !
- Classical phase response

# Driven point mass model with tip-sample interaction



*Magnetic*

$$m\ddot{x} = -kx - c\dot{x} + F_{mag}(t) + F_{ts}(Z + x(t))$$

$$\frac{\ddot{x}}{\omega_0^2} + x + \frac{1}{\omega_0 Q} \dot{x} = \frac{1}{k} (F_{mag}(t) + F_{ts}(Z + x(t)));$$

$$\text{with } \omega_0 = \sqrt{\frac{k}{m}}, Q = \frac{m\omega_0}{c}$$

*Measured motion =  $x(t)$*

$$F_{mag}(t) = F_0 \sin(\omega t)$$

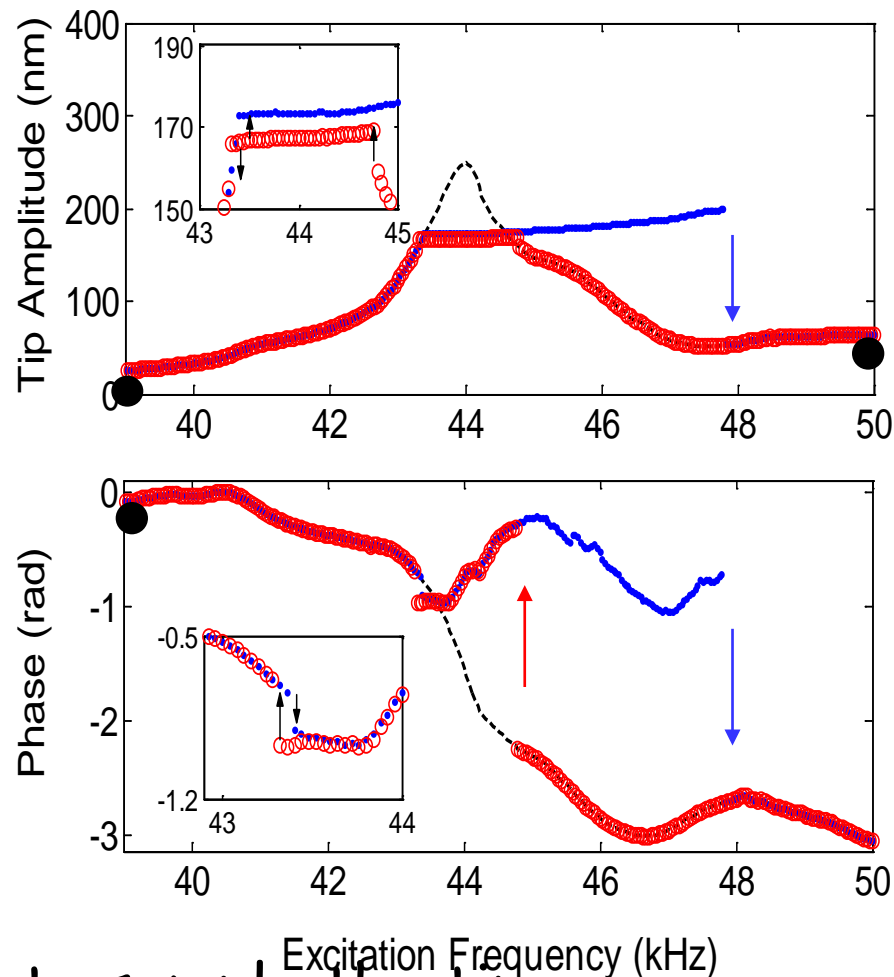
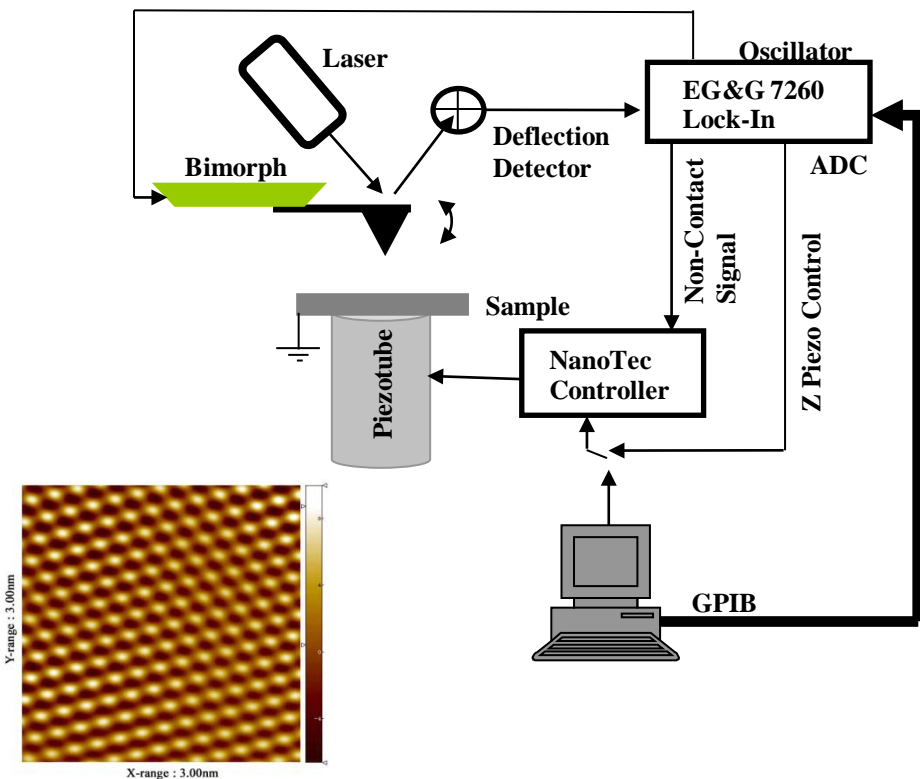
*Acoustic excitation*

$$\frac{\ddot{z}}{\omega_0^2} + z + \frac{1}{\omega_0 Q} \dot{z} = -\frac{\ddot{y}}{\omega_0^2} - \frac{1}{\omega_0 Q} \dot{y} + \frac{F_{ts}(Z + y(t) + x(t))}{\omega_0^2}$$

- Highly nonlinear ordinary differential equation
- What happens to frequency response when probe is brought close to sample?

# Experiments with conventional tips

- Si tip / HOPG sample  $z=90$  nm, frequency sweep



- When brought closer to sample the tip sometimes sticks to the sample

Lee et al, Phys Rev B (2002)