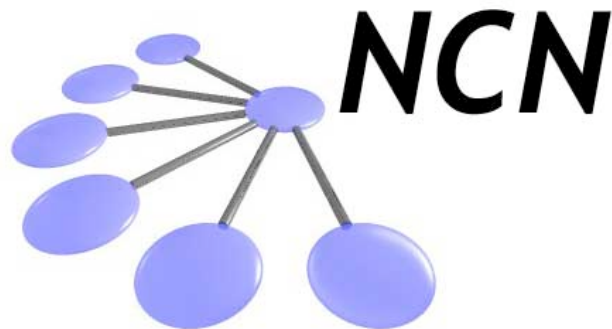


Network for Computational Nanotechnology (NCN)

UC Berkeley, Univ. of Illinois, Norfolk State, Northwestern, Purdue, UTEP

ABINIT: First Time User Guide



PURDUE
UNIVERSITY

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A very brief introduction

- Many problems in atomic scale physics and chemistry require a solution to the many-electron Schrödinger equation.

$$\hat{H}\Psi = [\hat{T} + \hat{V} + \hat{U}] \Psi = \left[\sum_i^N -\frac{\hbar^2}{2m} \nabla_i^2 + \sum_i^N V(\vec{r}_i) + \sum_{i<j}^N U(\vec{r}_i, \vec{r}_j) \right] \Psi = E\Psi$$

- This equation can not be solved exactly; the U term, denoting electron-electron interactions is particularly intractable. One of the most popular approximation methods for this equation is called Density Functional Theory (DFT), which uses the electron density n to re-write the many-electron problem into a single electron problem in which the electron moves in an effective potential

$$V_s(\vec{r}) = V(\vec{r}) + \int \frac{e^2 n_s(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3 r' + V_{XC}[n_s(\vec{r})]$$

Equation images from http://en.wikipedia.org/wiki/Density_functional_theory)

A very brief introduction II

- The effective potential V_s is a function of the electron density

$$n(\vec{r}) \stackrel{\text{def}}{=} n_s(\vec{r}) = \sum_i^N |\phi_i(\vec{r})|^2$$

- The exchange-correlation energy V_{xc} , which describes the many-electron interactions, is a functional of n .
- The electron density n is itself a function of the solutions ϕ_i of the Kohn-Sham [see References] equations

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + V_s(\vec{r}) \right] \phi_i(\vec{r}) = \epsilon_i \phi_i(\vec{r})$$

- The DFT method, then, is an iterative process, in which an initial n is used to calculate V_s , which is used to solve for ϕ_j , which updates n , and so on.

What is ABINIT?

- A program which calculates the total energy, electronic structure, and charge density of atomic systems (nuclei and electrons) using DFT, plane waves, and pseudopotentials.
- ABINIT can also do geometry optimization and molecular dynamics (MD).
- Many body perturbation theory (GW approximation) is also possible.
- The rest of this guide will focus on the nanoHUB interface for ABINIT.
- For more information, see <http://www.abinit.org/about/>

abinit

First input phase: Simulation Option

Simulation Option → 2 Structure → 3 Run Options → 4 Simulate

Simulation Option: Use Graphical Interface

abinit on nanoHUB

Welcome to ABINIT!

ABINIT is a package whose main program allows one to find the total energy, charge density and electronic structure of systems made of electrons and nuclei (molecules and periodic solids) within Density Functional Theory (DFT), using pseudopotentials and a plane-wave basis. ABINIT also includes options to optimize the geometry according to the DFT forces and stresses, or to perform molecular dynamics simulations using these forces, or to generate dynamical matrices, Born effective charges, and dielectric tensors. Excited states can be computed within the Time-Dependent Density Functional Theory (for molecules), or within Many-Body Perturbation Theory (the GW approximation). In addition to the main ABINIT code, different utility programs are provided.

To read more about ABINIT:

- [ABINIT Official Website](#)

Structure >

- ABINIT supports two interfaces
- **Use Graphical Interface** allows the user to select simulation options. This is the default option and the focus of this user guide.
- **Upload Abinit Inputdeck** allows an expert user to upload his own input file.

Second input phase: Structure I

1 Simulation Option → 2 Structure → 3 Run Options → 4 Simulate

Molecular Structure File: Benzene molecule

Structure | Driver Parameters | Bandstructure | Post Processing

File Type: XYZ

Coordinate type: cartesian coordinates in angstroms

Structure input:

```

12
Benzene molecule
C 15 16.396 15
C 16.209 15.698 15
C 16.209 14.302 15
C 15 13.604 15
C 13.791 14.302 15
C 13.791 15.698 15
H 15 17.479 15
H 17.147 16.24 15

```

Scaling Factors

Scaling Factors (x, y, z in bohrs): 20, 20, 20

Primitive Lattice Vectors

a: 1, 0, 0

b: 0, 1, 0

c: 0, 0, 1

< Simulation Option | Run Options >

- Choose one of the preloaded molecular structures (benzene, carbon nanotube, crystalline silicon) or upload your own.
- PDB and XYZ coordinate formats are supported.
- All calculations must be done in a periodic supercell; this is a consequence of using a planewave basis.
- Define primitive vectors and scaling factors (lattice constants) for the supercell holding the system.
- Determine the distribution of k -points in the supercell.

Second input phase: Structure II

Simulation Option → **2 Structure** → 3 Run Options → 4 Simulate

Molecular Structure File: Benzene molecule

Structure | Driver Parameters | Bandstructure | Post Processing

Exchange Correlation Functional: LDA

Plane Wave Cutoff Energy (har): 12

Dielectric Constant: 12.0

Self-consistent Field (SCF) Iterations

Self-consistent Algorithm: Pulay mixing of potential

Number of Pulay Iterations for SC mixing: 7

Convergence criterion for SCF iterations: Tolerance on difference of total energy

Parameters for SCF Iterations

Number of self-consistent iterations: 25

Target Energy Change (har): 1.0e-10

Target Force Change (har/bohr): 5.0e-6

Target Relative Force: 0.02

Target Wavefunction Squared Residual: 1.0e-16

Optimization

< Simulation Option Run Options >

- Choose the algorithms used for DFT calculations as well as the convergence criteria.
- Each of these options deserves its own guide. Please see the references on the last page of this guide for more information.

Second input phase: Structure III

Simulation Option → ② Structure → ③ Run Options → ④ Simulate

Molecular Structure File: Benzene molecule

Structure | Driver Parameters | Bandstructure | Post Processing

Bandstructure: yes

Bandstructure Definitions

Band Ranges to Plot: (15, 35)
Format is (start_band, end_band). Example: (1, 3)(6, 9)

k path specified by k point boundaries: (0, 0, 0) (0, 0, 1)
Format is (k_x,k_y,k_z). Example: (1,2,3)(1,3,4)(2,7,3)

Divisions along k path between boundaries: 2

< Simulation Option Run Options >

- ABINIT can calculate the band structure of a system.

- Specify which bands to calculate and plot, as well as the *k*-point range.

Second input phase: Structure IV

1 Simulation Option → 2 Structure → 3 Run Options → 4 Simulate

Molecular Structure File: Benzene molecule

Structure | Driver Parameters | Bandstructure | Post Processing

Wavefunction visualization: yes

Wavefunctions to visualize: (1, 1, 4) (1, 1, 6)

Format is (dataset,k,band). Example: (1,1,1)(1,1,2)(2,4,3)

< Simulation Option Run Options >

- ABINIT can also create wavefunction visualizations.

- Specify which wavefunction images to generate.

Third input phase: Run Options

1 Simulation Option → 2 Structure → 3 Run Options → 4 Simulate

Run Option: Simulate

MPI

Execute Abinit via MPI?: No

MPI run site: TeraGrid sites

Number of nodes: 3

Walltime: 1:00:00

< Structure

Simulate >

- ABINIT can run serially or in parallel.

- Specify which type of run, and, if parallel, the number of processors on which to run and the maximum run time.

What if you just hit Simulate?

1 Simulation Option → 2 Structure → 3 Run Options → 4 Simulate

Result: Main Input Deck

```
#abinit inputdeck
# Definition of the unit cell
acell 20 20 20
rprim 1 0 0
0 1 0
0 0 1

# Definition of the atom types
ntypat 2
znucl 6 1

ixc 1
# Definition of the atoms
natom 12
typat 1 1 1 1 1 1 2 2 2 2 2 2
xangst
15 16.396 15
16.209 15.698 15
16.209 14.302 15
15 13.604 15
13.791 14.302 15
13.791 15.698 15
15 17.479 15
17.147 16.24 15
17.147 13.76 15
15 12.521 15
12.853 13.76 15
12.853 16.24 15
```

Find: Select All

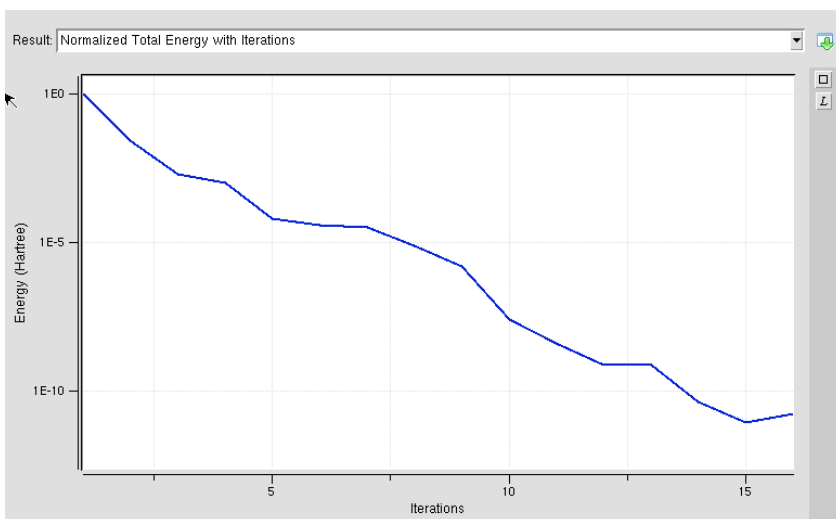
1 result Parameters... Clear

< Run Options

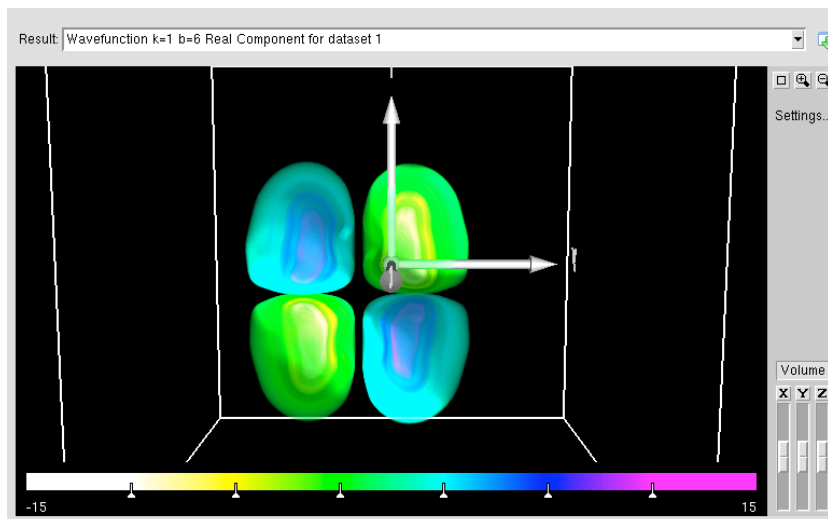
- The default run is a simulation of a benzene molecule.
- An ABINIT input deck is generated from the options chosen in the GUI.
- The default run also generates a plot of total energy over the simulation, the electron density, one wavefunction, and the final molecular structure.

What happens if you just hit Simulate? Default outputs

Total energy, which decreases to convergence during the simulation

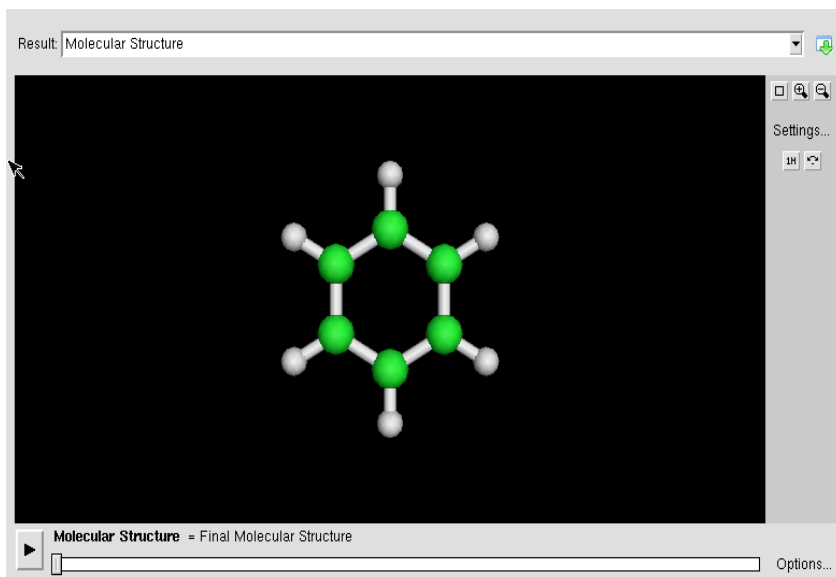


Specific wavefunction(s) chosen in the Structure input phase

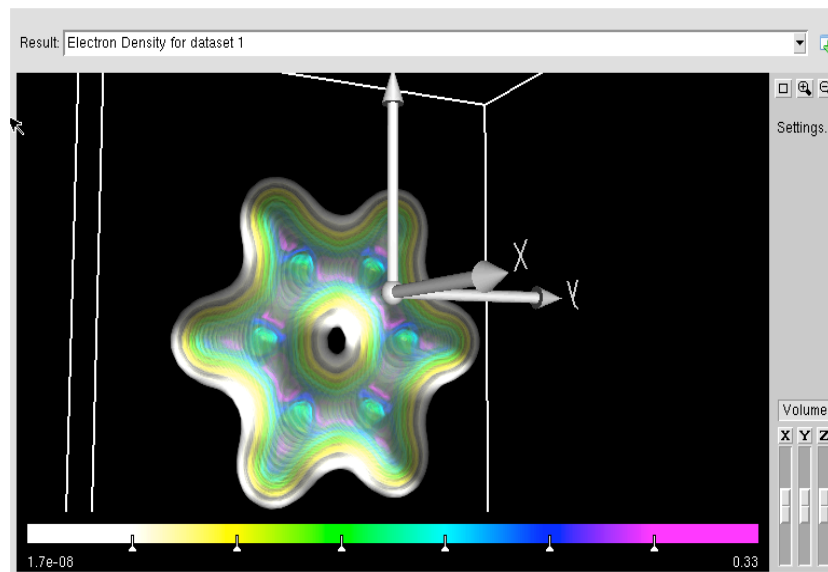


What happens if you just hit Simulate? Default outputs

Molecular structure



Electron density



Another preloaded example: (6,6) Carbon nanotube

Molecular Structure File: CNT_6_6

Structure | Driver Parameters | Bandstructure | Post Processing

Exchange Correlation Functional: LDA

Plane Wave Cutoff Energy (hart): 12

Dielectric Constant: 12.0

Self-consistent Field (SCF) Iterations

Self-consistent Algorithm: CG based on min. energy with respect to the potential

Number of Pulay Iterations for SC mixing: 7

Convergence criterion for SCF iterations: Tolerance on difference of total energy

Parameters for SCF Iterations

Number of self-consistent iterations: 25

Target Energy Change (hart): 1.0e-10

Target Force Change (hart/bohr): 5.0e-6

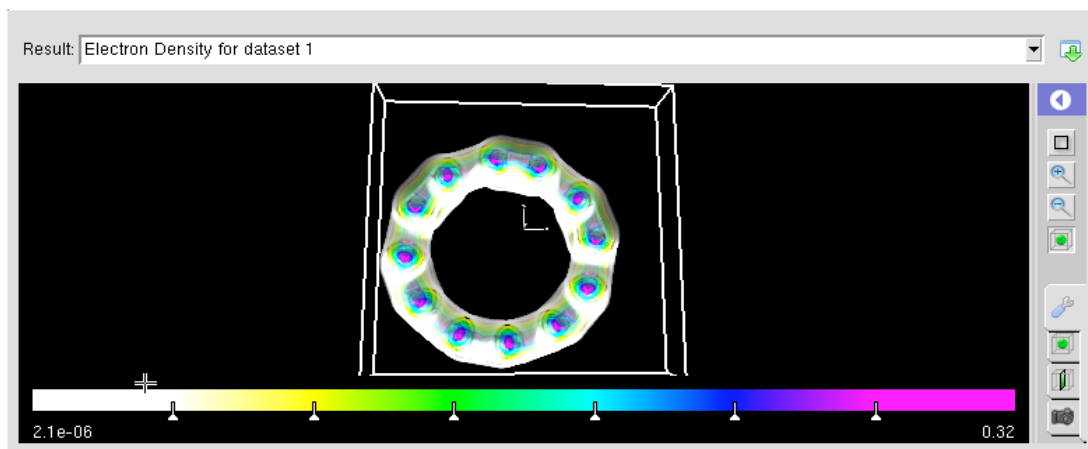
Target Relative Force: 0.02

Target Wavefunction Squared Residual: 1.0e-16

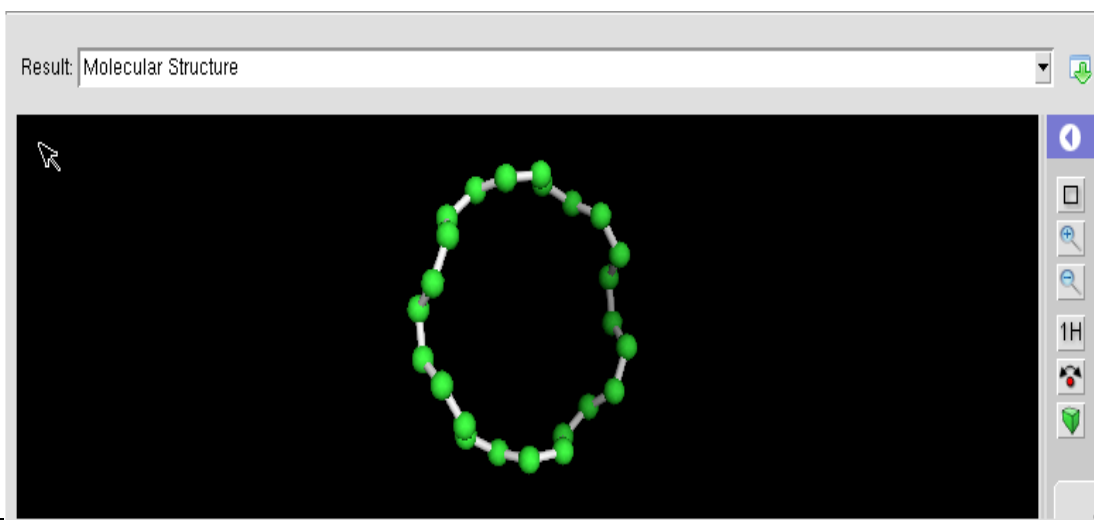
Optimization

- Select CNT_6_6 structure file in the Structure phase.
- We can also enable wavefunction visualization and band structure calculation here.

Carbon nanotube outputs



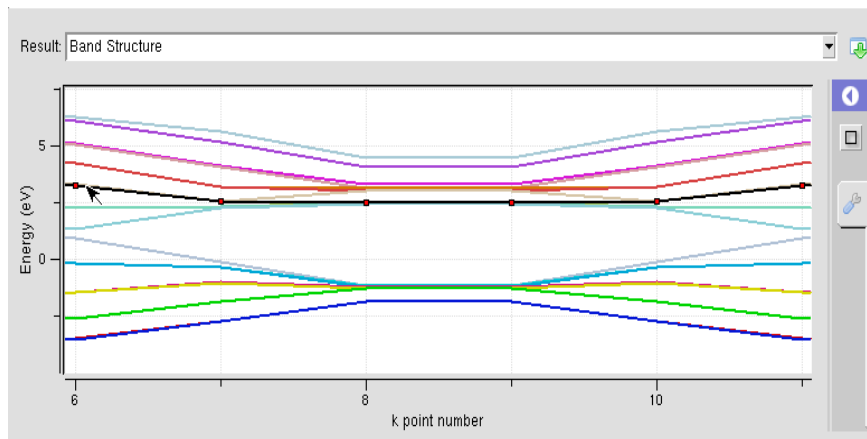
- Electron density



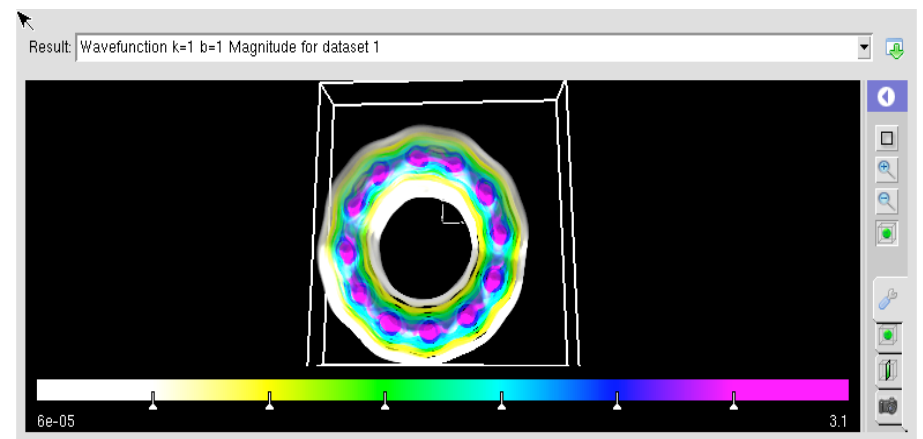
- Molecular structure

Carbon nanotube outputs II

Band structure



Wavefunction



Limitations of ABINIT

- The use of plane waves requires that all systems must be periodic. You may need to create a large supercell, using the scaling factors in the Structure phase, for non-periodic systems. The size of the supercell should be large enough to minimize interactions with periodic images of the system.
- Shifts in the k-point grid must be explicitly stated in the Structure phase. Other *ab initio* codes allow the user to specify, for example, a Monkhorst-Pack [see References] k-point grid.
- When running parallel (MPI) jobs at TeraGrid sites, we cannot currently retrieve job status from the remote sites. The status bar appears to hang while the parallel job runs remotely. This problem is a fundamental architecture issue with the queue systems used by TeraGrid sites. The nanoHUB engineers are working on other submission strategies.

- M. C. Payne, et al., "Iterative minimization techniques for *ab initio* total energy calculations: molecular dynamics and conjugate gradients", *Reviews of Mod. Phys.* **64**, 1045-1098, 1992.
- Density functional theory
 - » Hohenberg, Pierre; Walter Kohn (1964). "Inhomogeneous electron gas". *Physical Review* **136 (3B): B864–B871**. doi:10.1103/PhysRev.136.B864
 - » Kohn, W.; Sham, L. J. (1965). "Self-consistent equations including exchange and correlation effects". *Phys. Rev.* **140 (4A): A1133–A1138**. doi:10.1103/PhysRev.140.A1133 (1998 Nobel Prize in Chemistry for DFT)
 - » Local Density Approximation (LDA) for the exchange-correlation energy
 - ✓ D. M. Ceperley and B. J. Alder (1980). "Ground State of the Electron Gas by a Stochastic Method". *Phys. Rev. Lett.* **45: 566–569**. doi:10.1103/PhysRevLett.45.566
 - ✓ Perdew, J. P.; Zunger, Alex (1981). "Self-interaction correction to density-functional approximations for many-electron systems". *Phys. Rev. B* **23 (10): 5048–5079**. doi:10.1103/PhysRevB.23.5048
 - ✓ John P. Perdew and Yue Wang (1992). "Accurate and simple analytic representation of the electron-gas correlation energy". *Phys. Rev. B* **45: 13244–13249**. doi:10.1103/PhysRevB.45.13244

- Monkhorst-Pack k-point sampling

- » H. J. Monkhorst and J. D. Pack, "Special points for Brillouin-zone integration", Phys. Rev. B **13**, 5188, 1976.

- ABINIT

- » X. Gonze, J.-M. Beuken, R. Caracas, F. Detraux, M. Fuchs, G.-M. Rignanese, L. Sindic, M. Verstraete, G. Zerah, F. Jollet, M. Torrent, A. Roy, M. Mikami, Ph. Ghosez, J.-Y. Raty, D.C. Allan, "First-principles computation of material properties : the ABINIT software project", Computational Materials Science **25**, 478-492 (2002).
- » X. Gonze, G.-M. Rignanese, M. Verstraete, J.-M. Beuken, Y. Pouillon, R. Caracas, F. Jollet, M. Torrent, G. Zerah, M. Mikami, Ph. Ghosez, M. Veithen, J.-Y. Raty, V. Olevano, F. Bruneval, L. Reining, R. Godby, G. Onida, D.R. Hamann, and D.C. Allan, "A brief introduction to the ABINIT software package", Zeit. Kristallogr. **220**, 558-562 (2005).