

# Optical Imaging

## Chapter 3 – Imaging

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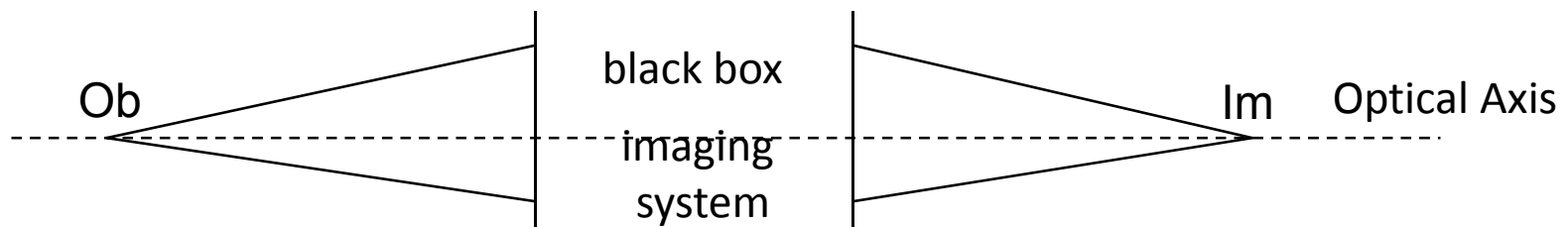
# Objectives

- Introduction to geometrical optics and Fourier optics – precedes Microscopy



## 3.1 Geometrical Optics

- If the objects encountered by light are large compared to wavelength, the equations of propagation can be greatly simplified ( $\lambda \rightarrow 0$ )
- i.e. the wave-phenomena (scattering, interference, etc) are neglected
- In homogeneous media, light travels in straight lines  $\equiv$  rays
- G.O. deals with ray propagation through optical media (eg. Imaging systems)





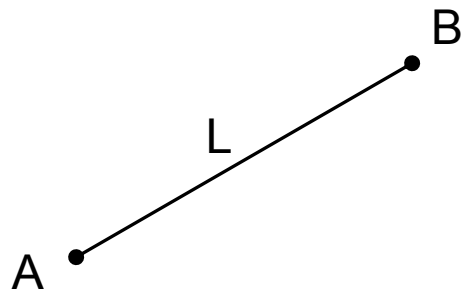
## 3.1 Geometrical Optics

- G.O. predicts image location through complicated systems; accuracy is fairly good
- Nowadays there are software programs that can run “ray propagation” through arbitrary materials
- So, what are the laws of G.O.?



## 3.2 Fermat's principle

a)  $n = \text{constant}$

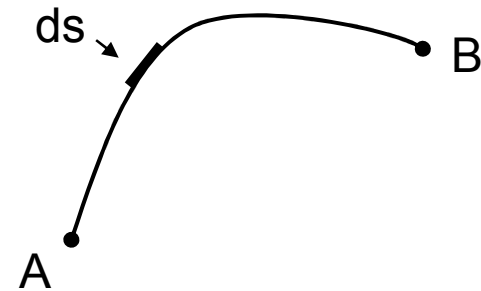


$$v = \frac{c}{n}$$

$$\text{Time: } t_{AB} = \frac{L}{v} = \frac{1}{c} nL$$

→ straight line

b)  $n = n(\vec{r}) = \text{function of position}$



$$v(r) = \frac{c}{n(r)}$$

$$dt = \frac{ds}{v_B} = \frac{1}{c} n(s) ds$$

$$\rightarrow t_{AB} = \frac{1}{c} \int_A^B n(s) ds \quad (3.1)$$



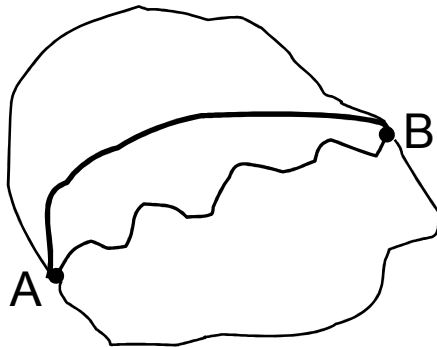
## 3.2 Fermat's principle

- Definition:

$$S = ct = \int n(s) ds \equiv \text{optical path length} \quad (3.2)$$

- How can we predict ray bending (eg. mirage)?
- Fermat's Principle:

- Light connects any two points by a path of minimum time (the least time principle)



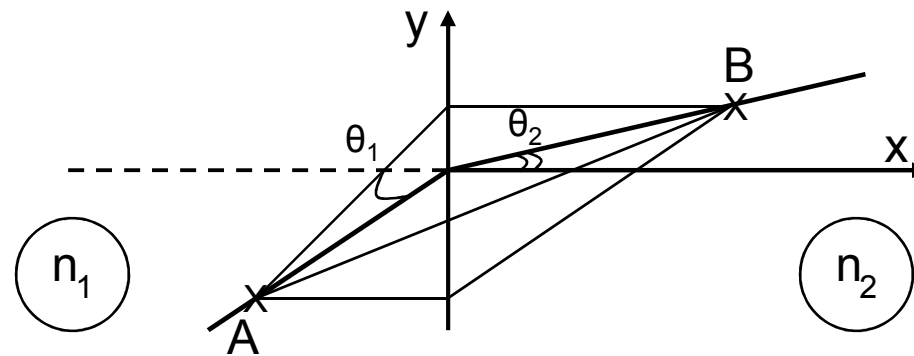
$$\delta \left[ \int_A^B n(S) dS \right] = 0 \quad (3.3)$$

- If  $n = \text{constant}$  in space,  $AB = \text{line}$ , of course



## 3.3 Snell's Law

- Consider an interface between 2 media:



- The rays are “bent” such that: (3.4)

$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

- Snell's law (3.4) can be easily derived from Fermat's principle, by minimizing:

$$S = n_1 |AO| + n_2 |OB| = \text{total path-length}$$

- Take it as an exercise



## 3.3 Snell's Law

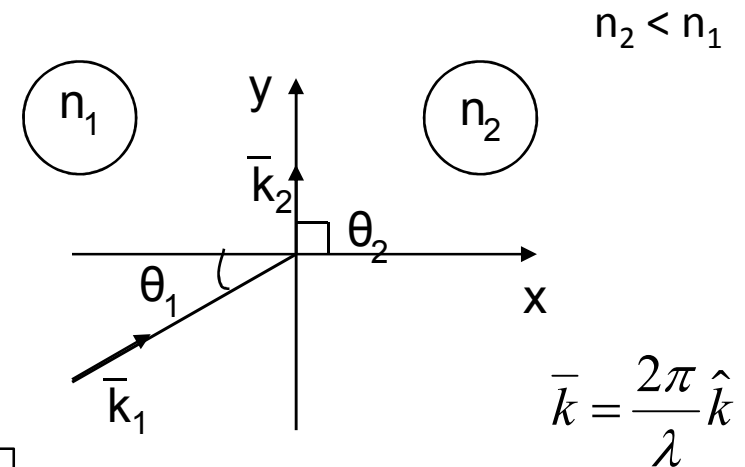
- Consequences of Snell's Law:

a) If  $n_2 > n_1 \rightarrow \theta_2 < \theta_1$  (ray gets closer to normal)

b) If  $n_2 < n_1 \rightarrow$  quite interesting!

$$\theta_2 = \sin^{-1} \left[ \frac{n_1}{n_2} \sin \theta_1 \right] \quad (3.5)$$

$\rightarrow$  Ray gets away from normal



- So, if  $\left[ \frac{n_1}{n_2} \sin \theta_1 \right] = 1 \Rightarrow \theta_2 = \pi/2$  NO TRANSMISSION





## 3.3 Snell's Law

- The angle of incidence  $\theta_c$  for which

$$n_1 \sin \theta_c = n_2 \quad (3.6)$$

is called critical angle

- This is total internal reflection

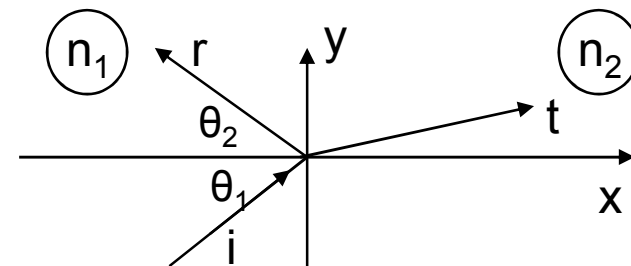
c) law of reflection

$n_2 = -n_1$  Snell's law is:

$$\rightarrow n_1 \sin \theta_1 = n_2 \sin \theta_2$$

$$\rightarrow \theta_1 = -\theta_2 \quad (\text{reflection law}) \quad (3.7)$$

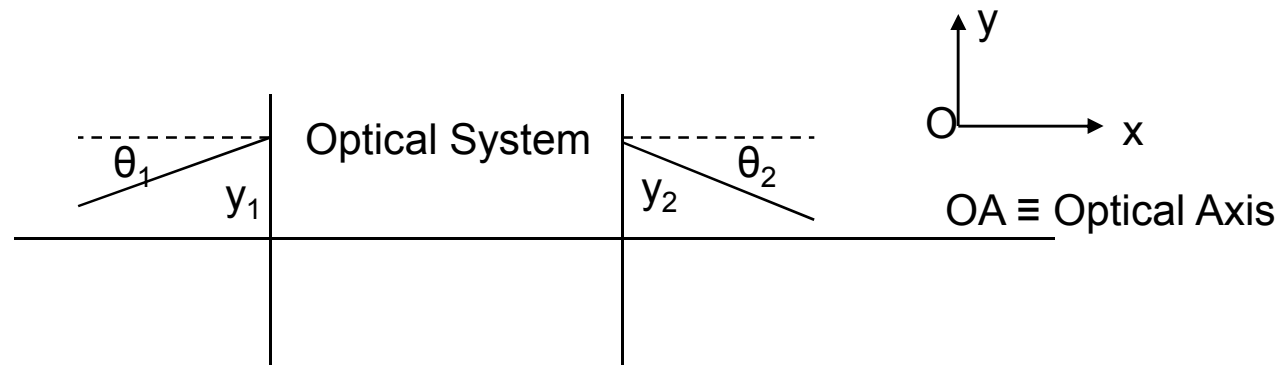
- Energy conservation:  $P_t + P_r = P_i$





## 3.4 Propagation Matrices in G.O

- Efficient way of propagating rays through optical systems

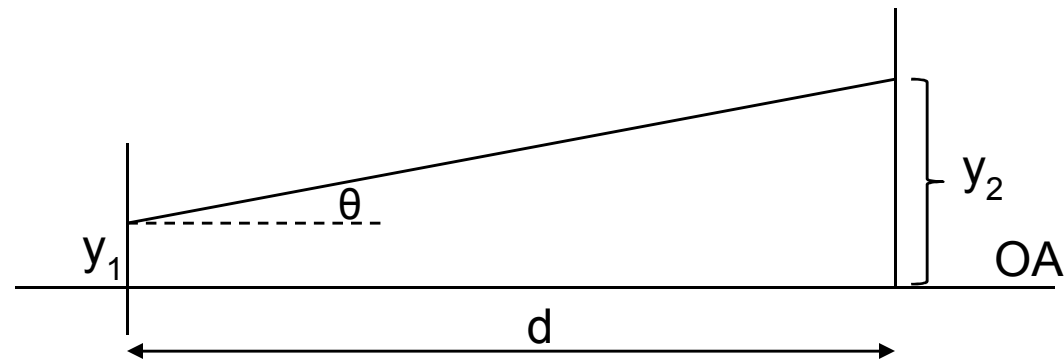


- Any given ray is completely determined at a certain plane by the angle with OA,  $\theta_1$ , and height w.r.t OA,  $y_1$   $\equiv$
- Let's propagate  $(y_1, \theta_1)$ , assume small angles Gaussian approximation



## 3.4 Propagation Matrices in G.O

### a) Translation



$$\begin{cases} \theta_2 = \theta_1 \\ y_2 = y_1 + d \tan \theta_1 \end{cases}$$

Small angles:

$$\begin{cases} y_2 = y_1 + d\theta_1 \\ \theta_2 = 0y_1 + 1\theta_1 \end{cases} \quad (3.8)$$



## 3.4 Propagation Matrices in G.O

### a) Translation

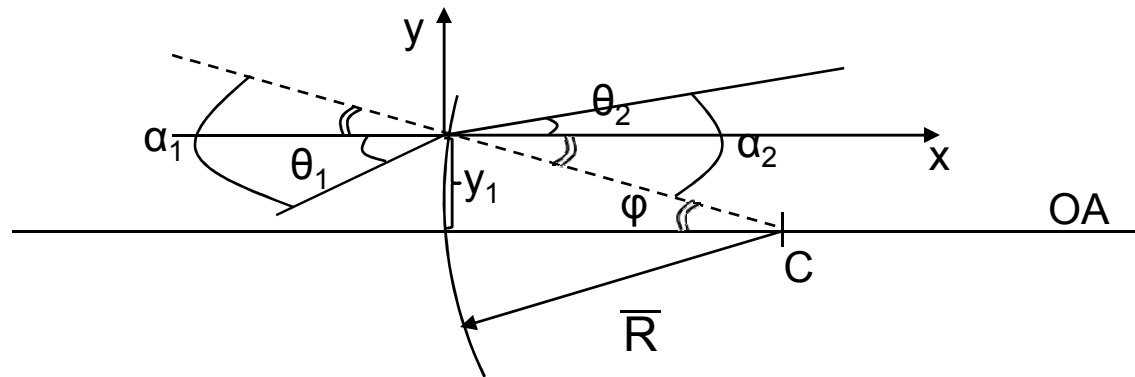
- We can re-write in compact form:

$$\begin{pmatrix} y_2 \\ \theta_2 \end{pmatrix} = \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix} \begin{pmatrix} y_1 \\ \theta_1 \end{pmatrix} \quad (3.9)$$



## 3.4 Propagation Matrices in G.O

### b) Refraction-spherical dielectric interface



- Snell's law:  $n_1 \alpha_1 = n_2 \alpha_2$
- Geometry: 
$$\begin{cases} \alpha_1 = \theta_1 + f \\ \alpha_2 = \theta_2 + f \\ f = \frac{y_1}{R} = \frac{y_2}{R} \end{cases} \quad (3.10)$$

$$\rightarrow n_1 \theta_1 + \frac{n_1}{R} y_1 = n_2 \theta_2 + \frac{n_2}{R} y_2 \quad \Big| \cdot \frac{1}{n}$$



## 3.4 Propagation Matrices in G.O

### b) Refraction-spherical dielectric interface

$$\blacksquare \text{ So: } \begin{cases} y_2 = y_1 + 0 \cdot \theta_1 \\ \theta_2 = \left(\frac{n_1}{n_2} - 1\right) \frac{y_1}{R} + \frac{n_1}{n_2} \theta_1 \end{cases}$$

$$\rightarrow \begin{pmatrix} y_2 \\ \theta_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix} \begin{pmatrix} y_1 \\ \theta_1 \end{pmatrix} \quad (3.11)$$

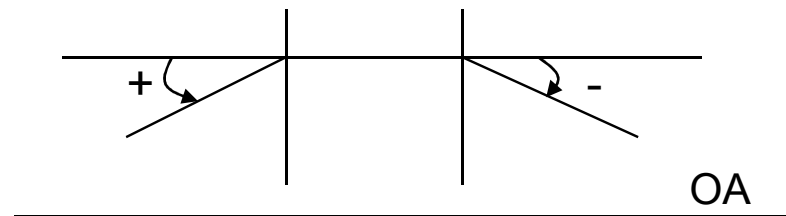


## 3.4 Propagation Matrices in G.O

### b) Refraction-spherical dielectric interface

- Important: To avoid confusion between  $\theta$  and  $-\theta$  angles, use “sign convention”

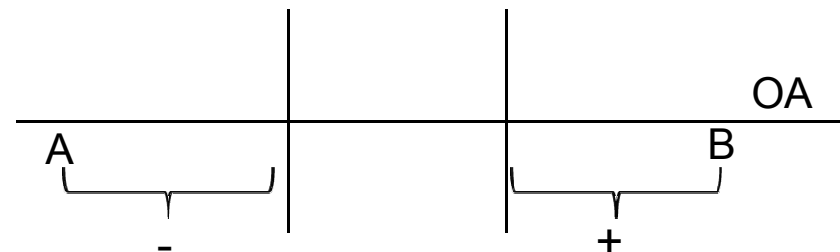
#### 1. angle convention



- Counter clock-wise = positive

#### 2. distance convention

{ Left  $\rightarrow$  negative  
 { Right  $\rightarrow$  positive

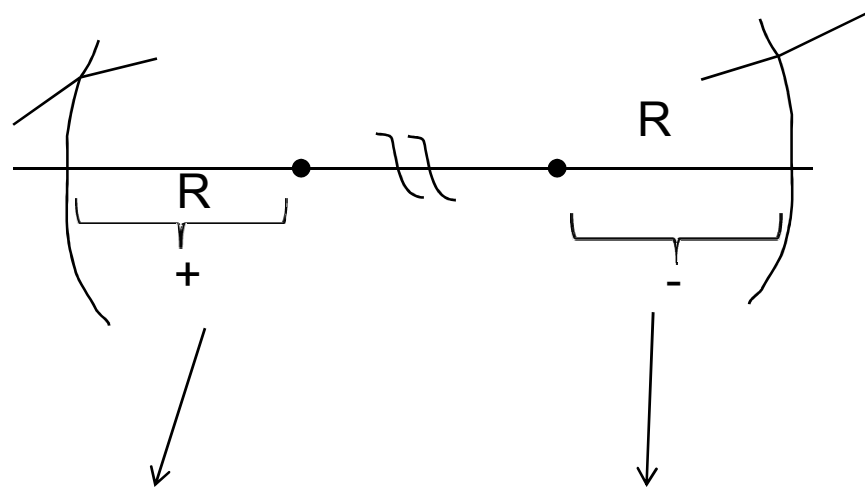




## 3.4 Propagation Matrices in G.O

### b) Refraction-spherical dielectric interface

- Example:



We found  $\begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix}$  and  $\begin{pmatrix} 1 & 0 \\ \frac{n_2 - n_1}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix}$

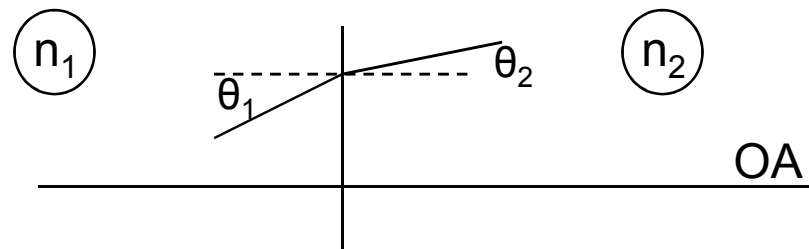
Same +/- convention applies to spherical mirrors. Without sign convention, it's easy to get the wrong numbers.





## 3.4 Propagation Matrices in G.O

c) Dielectric interface – particular case of  $R \rightarrow \infty$

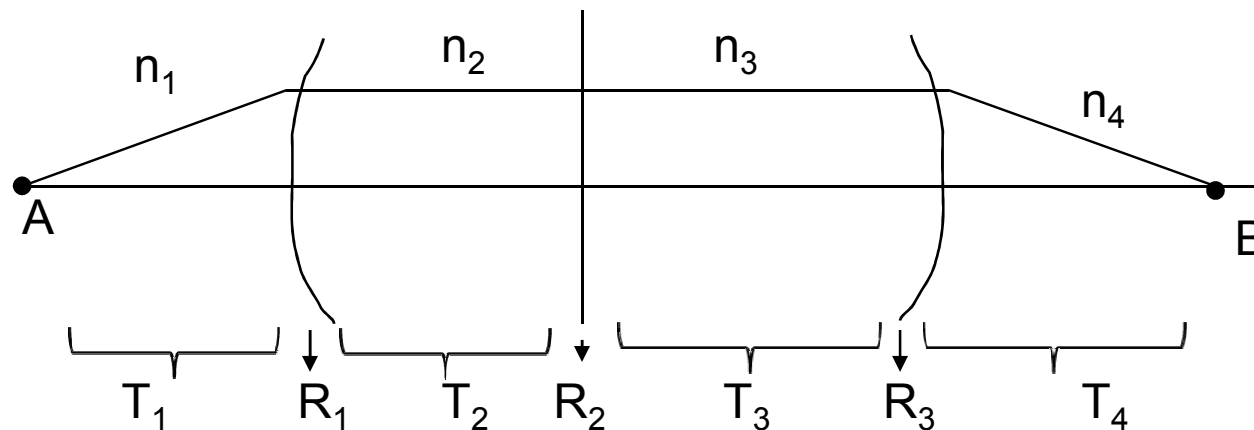


$$\lim_{R \rightarrow \infty} \begin{pmatrix} 1 & 0 \\ \frac{n_1 - n_2}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & \frac{n_1}{n_2} \end{pmatrix} \quad (3.12)$$



## 3.4 Propagation Matrices in G.O

- The nice thing is that cascading multiple optical components reduces to multiplying matrices (linear systems)
- Example:



$$\begin{pmatrix} y_B \\ \theta_B \end{pmatrix} = T_4 \cdot R_3 \cdot T_3 \cdot R_2 \cdot T_2 \cdot R_1 \cdot T_1 \cdot \begin{pmatrix} y_A \\ \theta_A \end{pmatrix} \quad (3.13)$$

- Note the reverse order multiplication (chronological order)



## 3.4 Propagation Matrices in G.O

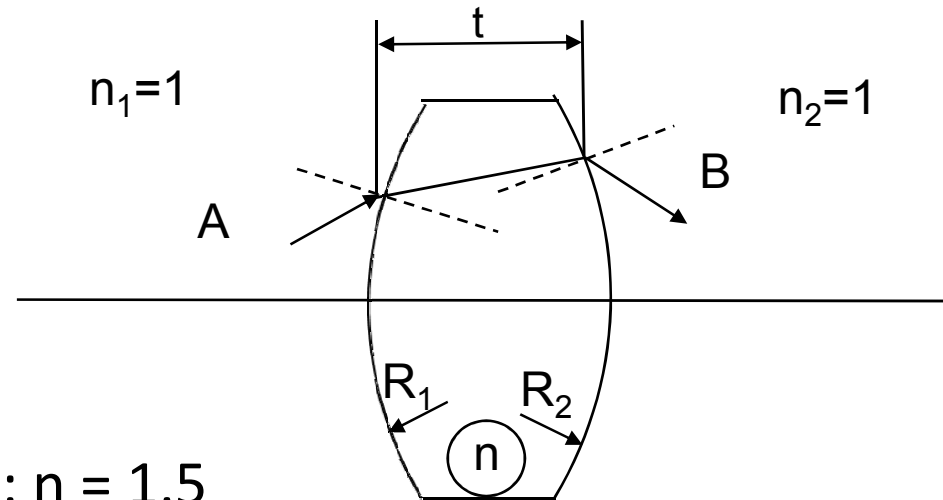
- Note the reverse order multiplication (chronological order)

- T = Translation matrix = 
$$\begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix}$$

- R=refraction matrix = 
$$\begin{pmatrix} 1 & 0 \\ \frac{n_2 - n_1}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix}$$



## 3.5 The thick Lens



- Typical glass:  $n = 1.5$
- Basic optical component: typically - 2 spherical surfaces

$$\begin{aligned} \begin{pmatrix} y_B \\ \theta_B \end{pmatrix} &= R_B \cdot T_t \cdot R_A \cdot \begin{pmatrix} y_A \\ \theta_A \end{pmatrix} \\ &= \underbrace{\begin{pmatrix} 1 & 0 \\ \frac{n-1}{R_2} & n \end{pmatrix} \cdot \begin{pmatrix} 1 & t \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 1 & 0 \\ \frac{1-n}{nR_1} & \frac{1}{n} \end{pmatrix}}_M \cdot \begin{pmatrix} y_A \\ \theta_A \end{pmatrix} \end{aligned}$$



## 3.5 The thick Lens

- After some algebra:

$$M = \begin{pmatrix} 1 - C_1 \frac{t}{R_1} & \frac{t}{n} \\ -\left(C_1 + C_2 - C_1 C_2 \frac{t}{n}\right) & 1 - C_2 \frac{t}{n R_2} \end{pmatrix} \quad (3.14)$$

- In general  $C = \frac{n_2 - n_1}{R} \equiv$  convergence of spherical surface
- $R_1 > 0, R_2 < 0 \rightarrow C_1 > 0 \ \& \ C_2 > 0 \rightarrow$  convergent
- Note  $[C] = \text{m}^{-1} = \text{dioptries}$

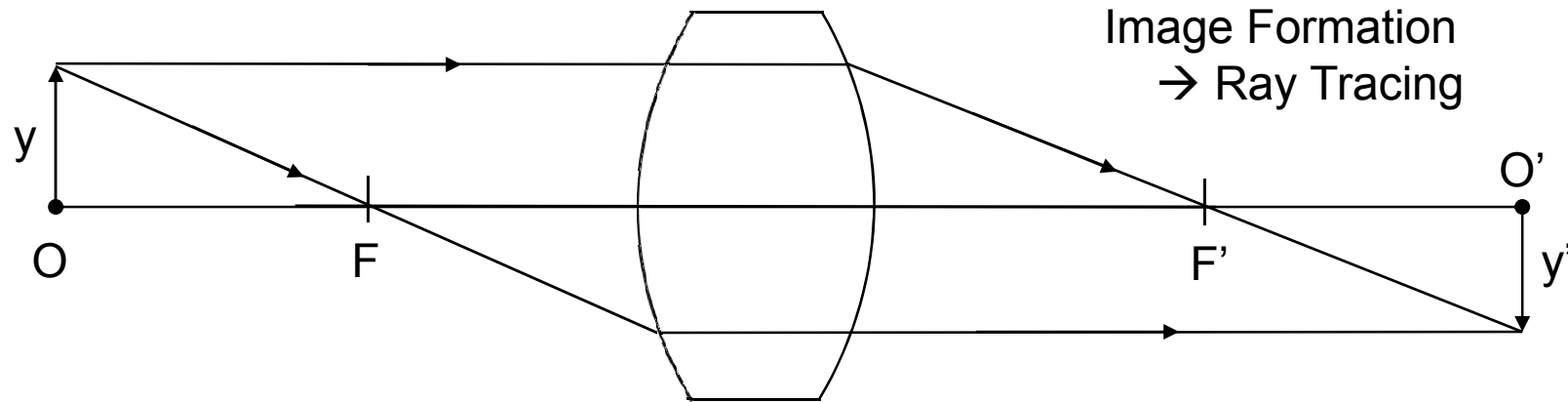


## 3.5 The thick Lens

- Definition:  $\frac{1}{f} = C_1 + C_2 - C_1 C_2 \frac{t}{n}$  (3.15)
- $f$  is the focal distance of lens
- Eq (3.15) is the “lens makers equation”



## 3.6 Cardinal points



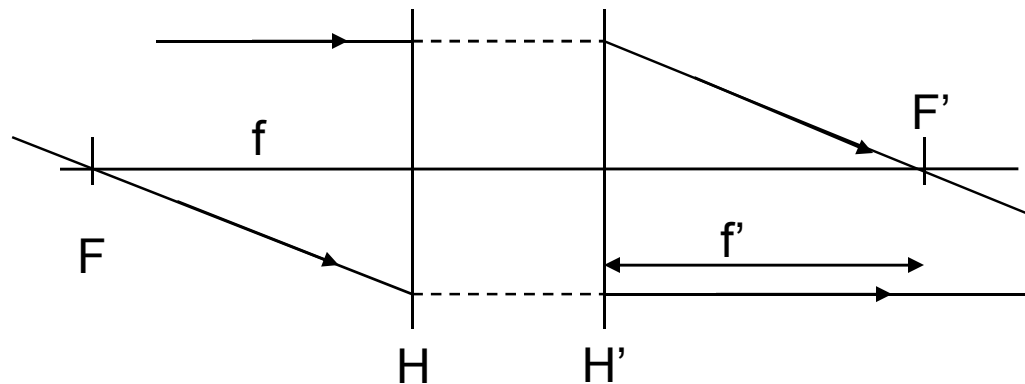
- $O = \text{object}$ ;  $O' = \text{image}$  ;  $O-O' = \text{conjugate points}$
- $F' = \text{focal point image}$  (image of objects from  $-\infty$ )
- $F = \text{focal point object}$
- Transverse magnification:

$$M = \frac{y'}{y} \quad (3.16)$$



## 3.6 Cardinal points

- Definition: principal planes are the conjugate planes for which  $M = 1$



$H, H'$  = principal planes  
 $f, f'$  = focal distances  
!  $f, f'$  measured from H





## 3.7 Thin lens

- Particular use:  $t \rightarrow 0$
- Transfer matrix for thin lens:

$$\lim_{t \rightarrow 0} \begin{pmatrix} 1 - C_1 \frac{t}{R_1} & \frac{t}{n} \\ -(C_1 + C_2 - C_1 C_2 \frac{t}{n}) & 1 - C_2 \frac{t}{n R_2} \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ -(C_1 + C_2) & 1 \end{pmatrix}$$

- Since  $\frac{1}{f} = C_1 + C_2 = (n-1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$
- (Note  $R_1 > 0$ ,  $R_2 < 0$ )

$$\rightarrow M_{\text{thin lens}} = \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \quad (3.17)$$



## 3.7 Thin lens

- Remember other matrices:

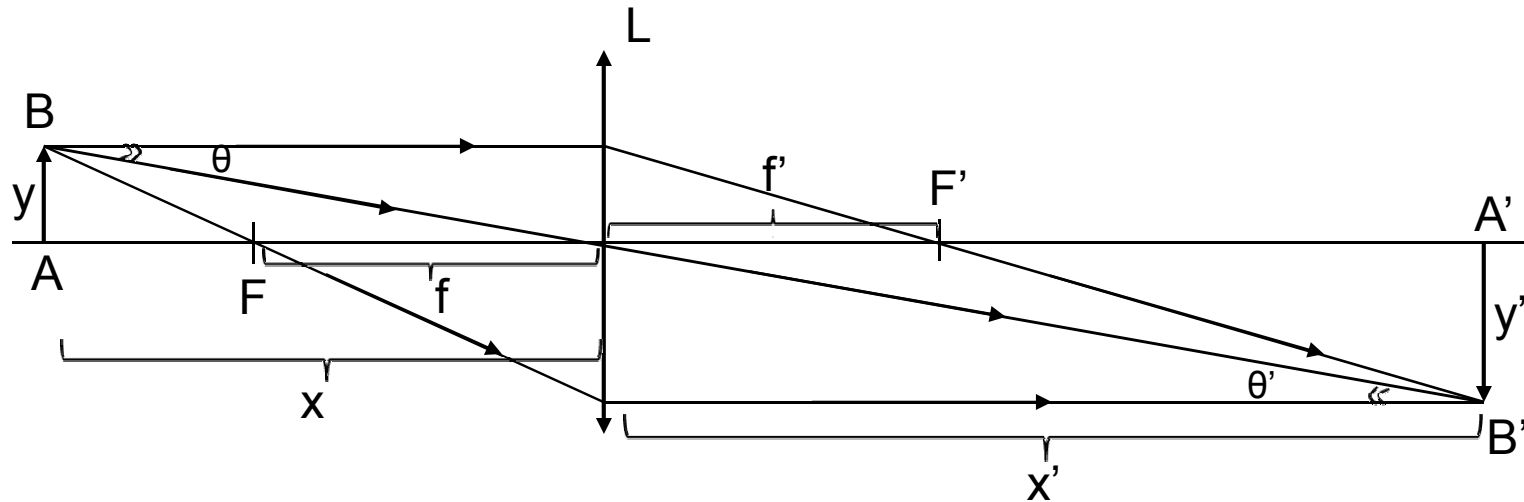
- Translation:  $T = \begin{pmatrix} 1 & d \\ 0 & 1 \end{pmatrix}$  (3.18)

- Refraction-spherical surface:  $R = \begin{pmatrix} 1 & 0 \\ \frac{n_2 - n_1}{n_2 R} & \frac{n_1}{n_2} \end{pmatrix}$  (3.19)

- Spherical mirror:  $M = \begin{pmatrix} 1 & 0 \\ -\frac{2}{R} & 1 \end{pmatrix}$  (3.20)  
( $f = R/2$ )



## 3.8 Ray Tracing – thin lenses



- $\updownarrow$  = convergent lens;  $f > 0$
- $\frown$  = divergent lens;  $f < 0$



## 3.8 Ray Tracing – thin lenses

$$\begin{aligned}
 \begin{pmatrix} y' \\ \theta' \end{pmatrix} &= T_{x'} M_f T_x \begin{pmatrix} y \\ \theta \end{pmatrix} = \\
 &= \begin{pmatrix} 1 & x' \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{pmatrix} \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} y \\ \theta \end{pmatrix} = \\
 &= \begin{pmatrix} 1 - \frac{x'}{f} & x + x' - \frac{xx'}{f} \\ -\frac{1}{f} & 1 - \frac{x}{f} \end{pmatrix} \begin{pmatrix} y \\ \theta \end{pmatrix}
 \end{aligned} \tag{3.21}$$

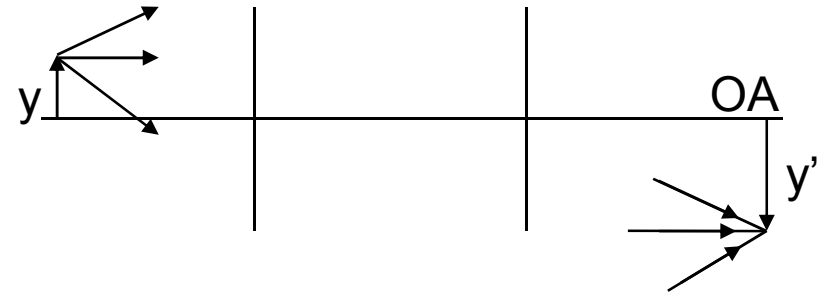


## 3.8 Ray Tracing – thin lenses

$$\begin{pmatrix} y' \\ \theta' \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \begin{pmatrix} y \\ \theta \end{pmatrix}; y' \text{ can be found as:}$$

$$\boxed{y' = Ay + B\theta} \quad (3.22)$$

- Condition for conjugate planes:



- For conjugate planes,  $y'$  should be independent of angle  $\theta$   
 $\rightarrow \boxed{B = 0}$
- i.e. stigmatism condition (points are imaged into points)
- We neglect geometric/chromatic aberrations



## 3.8 Ray Tracing – thin lenses

- So,  $B = 0 \rightarrow x + x' - \frac{xx'}{f} = 0$

$$\rightarrow \boxed{\frac{1}{x'} + \frac{1}{x} = \frac{1}{f}} \quad (3.23)$$

- Eq above is the conjugate points equation (thin lens)
- Eq 3.22 becomes:  $y' = yA$

$$\rightarrow M = A = 1 - \frac{x'}{f} = \text{Transverse magnification} \quad (3.24)$$



## 3.8 Ray Tracing – thin lenses

- Use Eq 3.23:

$$\begin{aligned} M &= 1 - \frac{x'}{f} = 1 - x' \left( \frac{1}{x'} + \frac{1}{x} \right) \\ &= \frac{x'}{x} < 0 \quad (\text{inverted image}) \end{aligned}$$

$$\boxed{M = \frac{x'}{x}} \quad (3.25)$$

- If object and image space have different refractive indices, 3.23 has the more general form:

$$\frac{n'}{x'} + \frac{n}{x} = \frac{1}{f} \quad (3.26)$$



## 3.8 Ray Tracing – thin lenses

- $x \rightarrow \infty \Rightarrow x' = n' f$
  - $x' \rightarrow \infty \Rightarrow x = n f$
- |  $f = \text{focal distance in air}$
- Let's differentiate (3.26) for air,  $n'=n=1$ :

$$\frac{dx'}{x'^2} = -\frac{dx}{x^2}$$

$$dx' = -\left(\frac{x'}{x}\right)^2 dx$$

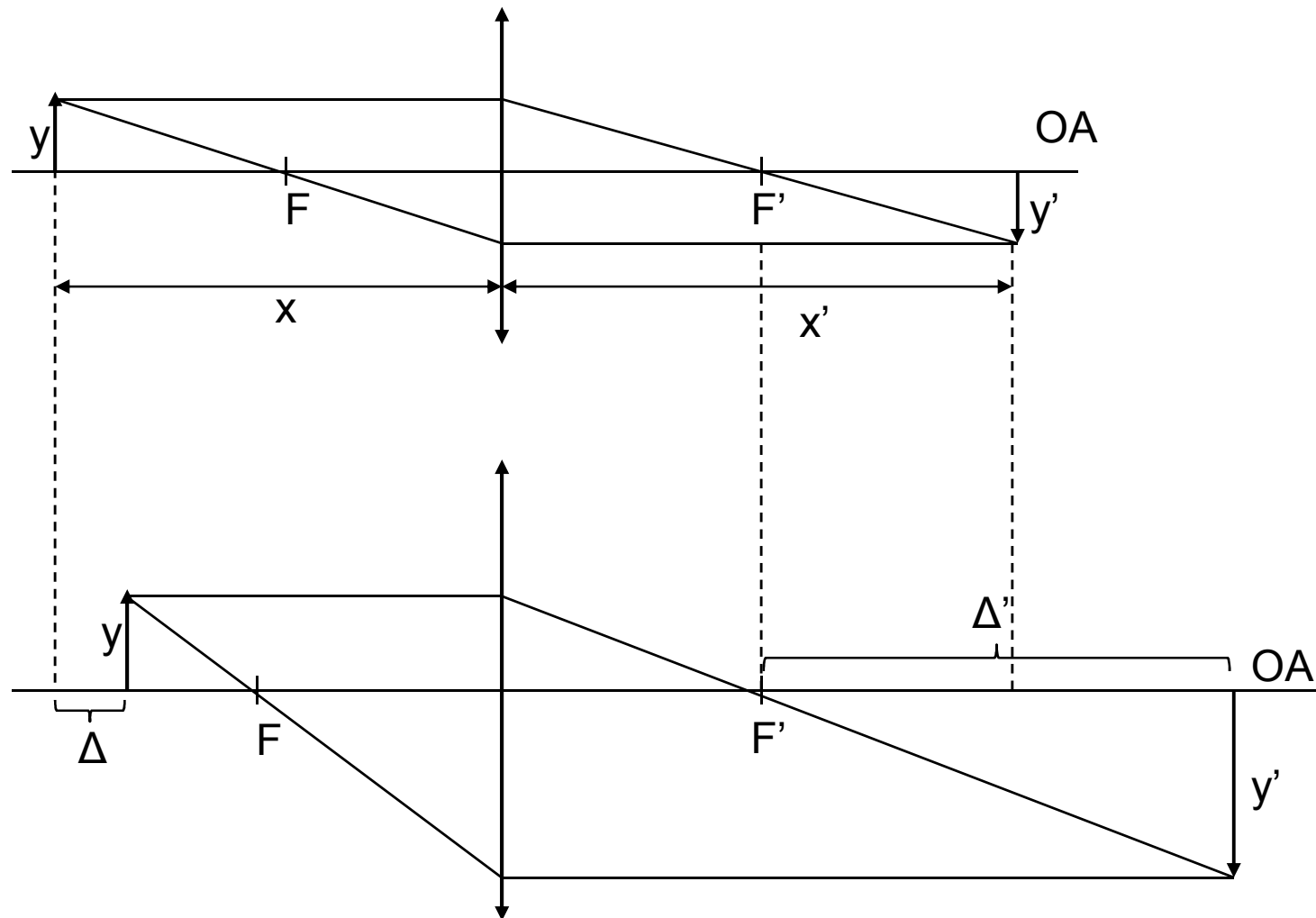
$$\boxed{dx' = -M^2 dx} \quad (3.27)$$

- Eq 3.27 says that if the object gets closer to lens, the image moves away!





## 3.8 Ray Tracing – thin lenses

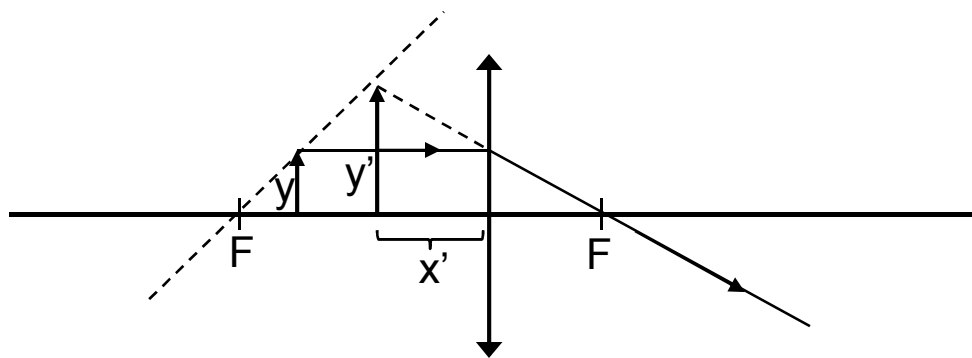




## 3.8 Ray Tracing – thin lenses

- What happens when  $x < f$  ?

$$\frac{1}{x'} + \frac{1}{x} = \frac{1}{f} \Rightarrow \boxed{\frac{1}{x'} = \frac{1}{f} - \frac{1}{x} < 0} ?$$



- This image is formed by continuations of rays
  - Sometimes called “virtual images”
  - These images cannot be recorded directly (need re-imaging)



## 3.8 Ray Tracing – thin lenses

- Other useful formulas in G.O (figure above:  $\Delta$ ,  $\Delta'$ )

- $\Delta.\Delta' = f^2$  (Newton's formula)

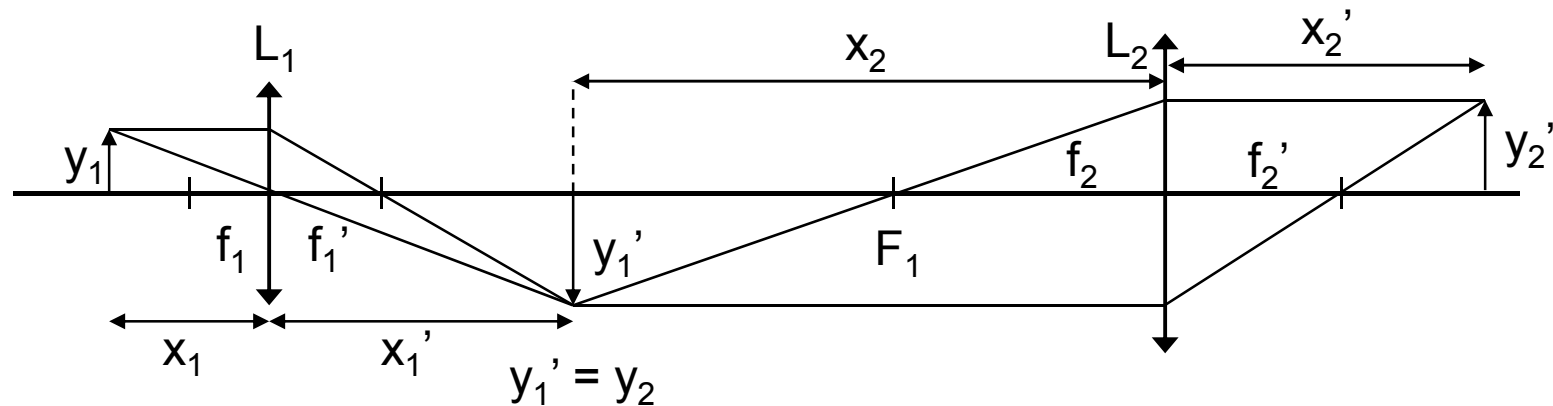
(3.28)

- $\frac{y'}{y} = \frac{\Delta'}{f} = \frac{f}{\Delta}$  (“lens formula”)

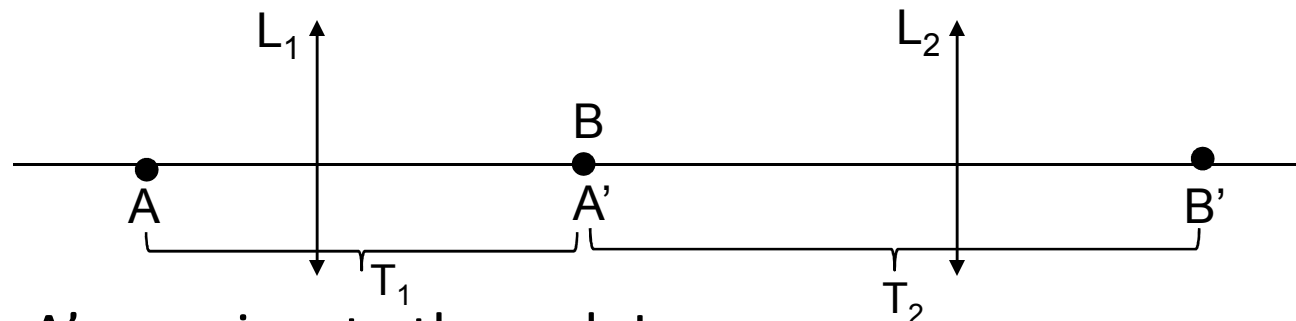


## 3.9 System of lenses

- The image through one lens becomes object for the next lens, etc



- Apply lens equation repeatedly. Or, use matrices



- $A, A' =$  conjugate through  $L_1$
- $B, B' =$  conjugate through  $L_2$



## 3.9 System of lenses

- Use  $T = T_2 \cdot T_1$ ;  $T$  matrix from 3.21

$$T_1 = \begin{pmatrix} 1 - \frac{x_1'}{f_1} & 0 \\ -\frac{1}{f_1} & 1 - \frac{x}{f} \end{pmatrix} \quad (3.29)$$

- Note:  $1 - \frac{x_1}{f_1} = 1 - x_1 \left( \frac{1}{x_1} + \frac{1}{x_1'} \right) =$   
 $= 1 - 1 - \frac{x_1}{x_1'} = \frac{1}{M_1} = \text{magnification}$



## 3.9 System of lenses

$$\rightarrow \begin{cases} 1 - \frac{x_1}{f_1} = \frac{1}{M_1} \\ 1 - \frac{x_1'}{f_1} = M_1 \end{cases} \quad (3.30)$$

!  $\det(T_1) = 1$

$$\begin{aligned} \rightarrow T &= T_2 \cdot T_1 = \\ &= \begin{pmatrix} M_2 & 0 \\ -\frac{1}{f_2} & \frac{1}{M_2} \end{pmatrix} \begin{pmatrix} M_1 & 0 \\ -\frac{1}{f_1} & \frac{1}{M_1} \end{pmatrix} = \\ &= \begin{pmatrix} M_1 M_2 & 0 \\ -\frac{M_1}{f_2} - \frac{1}{f_1 M_2} & \frac{1}{M_1 M_2} \end{pmatrix} \end{aligned} \quad (3.31)$$



## 3.9 System of lenses

- 2-lens system is equivalent to:

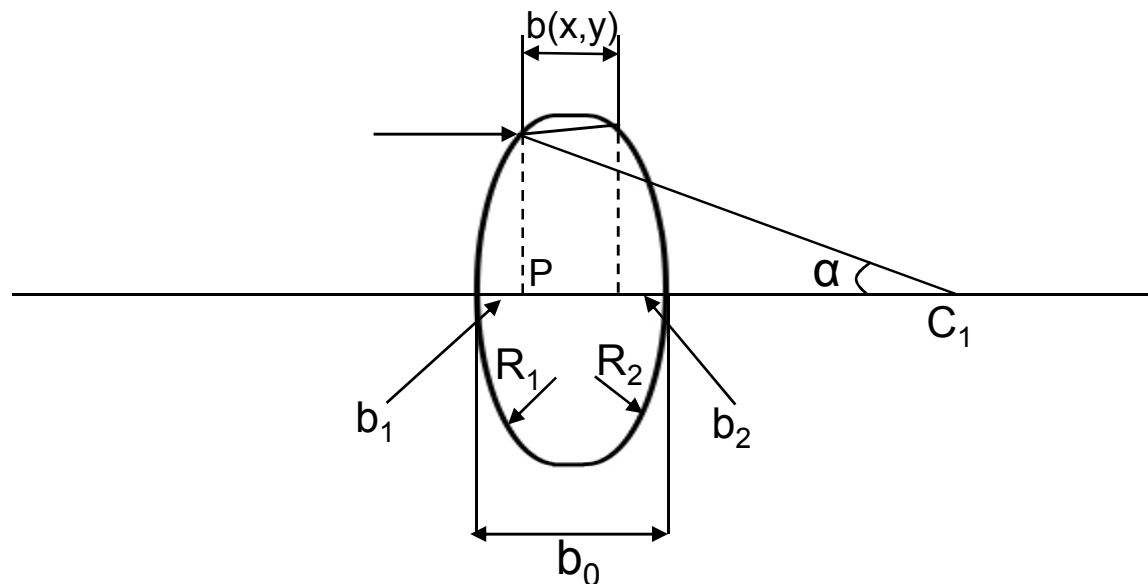
$$\left\{ \begin{array}{l} \frac{1}{f} = \frac{M_1}{f_2} + \frac{1}{f_1 M_2} \\ M = M_1 \cdot M_2 \end{array} \right.$$

- Microscopes achieve  $M=10-100$  easily
- Can be reduced to 2-lens system
- Question: cascading many lenses such that  $M=10^6$ , would we be able to see atoms?
- Well, G.O can't answer that.
- So, back to wave optics



## 3.10 Lens as a phase transformer

- $E = E_o \cdot e^{i\phi}$  becomes important
- How is the wavefront changed in the vicinity of a lens?



- $\rightarrow \phi = \underbrace{knb(x,y)}_{\text{glass}} + \underbrace{k[b_0 - b(x,y)]}_{\text{air}} \quad (3.32)$   
 $= kb_0 + k(n-1)b(x,y)$





## 3.10 Lens as a phase transformer

- Let's calculate  $b(x,y)$ ; assume small angles

$$\begin{aligned} b_1 &= R_1 - (PC_1) = \\ &= R_1 - \sqrt{R_1^2 - (\alpha R_1)^2} = R_1 \left[ 1 - \sqrt{1 - \alpha^2} \right] \end{aligned}$$

- Taylor expansion:  $\sqrt{1+x} \big|_{x \rightarrow 0} \approx 1 + \frac{x}{2}$

$$\rightarrow b_1 = R_1 \left[ 1 - \left( 1 - \frac{\alpha^2}{2} \right) \right] = R_1 \frac{\alpha^2}{2} \quad (3.33)$$

- $\alpha \approx \tan \alpha = \frac{\sqrt{x^2 + y^2}}{R_1}$

- So:  $b_1(x,y) = \frac{x^2 + y^2}{2R_1}$  (3.34)



## 3.10 Lens as a phase transformer

$$\begin{aligned} \rightarrow b(x, y) &= b_o - b_1(x, y) - b_2(x, y) = \\ &= b_o - \frac{x^2 + y^2}{2} \left( \frac{1}{R_1} - \frac{1}{R_2} \right) \end{aligned} \quad (3.35)$$

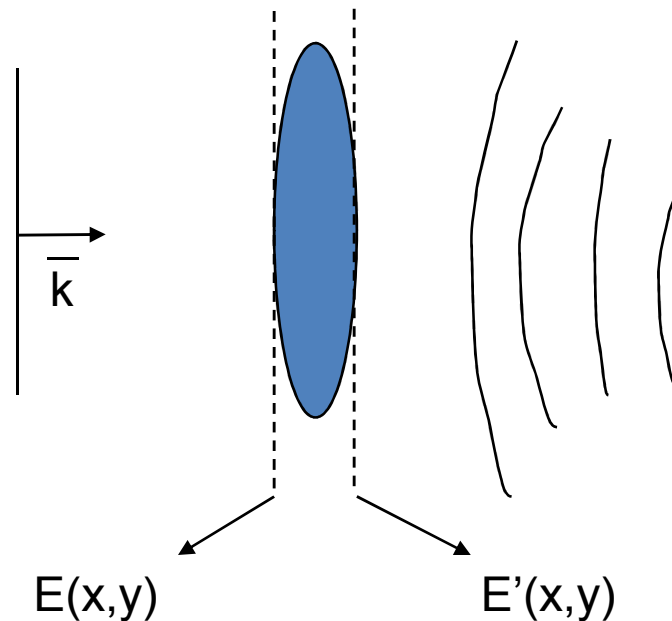
- This is the thickness approximation
- The phase  $\phi$  becomes:

$$\begin{aligned} \phi(x, y) &= \phi_o - k(n-1)b(x, y) = \\ &= \phi_o - k \frac{x^2 + y^2}{2} (n-1) \left[ \frac{1}{R_1} - \frac{1}{R_2} \right] \end{aligned} \quad (3.36)$$

- But we know:  $\frac{1}{f} = (n-1) \left( \frac{1}{R_1} - \frac{1}{R_2} \right)$



## 3.10 Lens as a phase transformer



$$\rightarrow E'(x, y) = E(x, y) \cdot t_e(x, y) \quad (3.37)$$

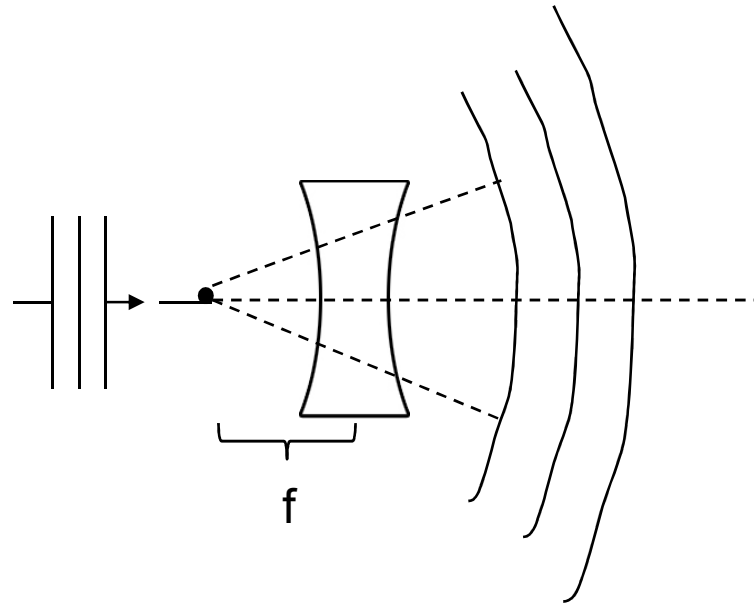
- The lens transformation is:

$$\begin{aligned} t_e &= e^{i\phi} = \\ &= e^{iknb_0} \cdot e^{-i\frac{k}{2f}(x^2+y^2)} \end{aligned} \quad (3.38)$$



## 3.10 Lens as a phase transformer

- A lens transforms an incident plane wavefront into a parabolic shape
- Note:  $f > 0$  convergent lens  
 $f < 0$  divergent



- So, if we know how to propagate through free space, then we can calculate field amplitude and phase through any imaging system

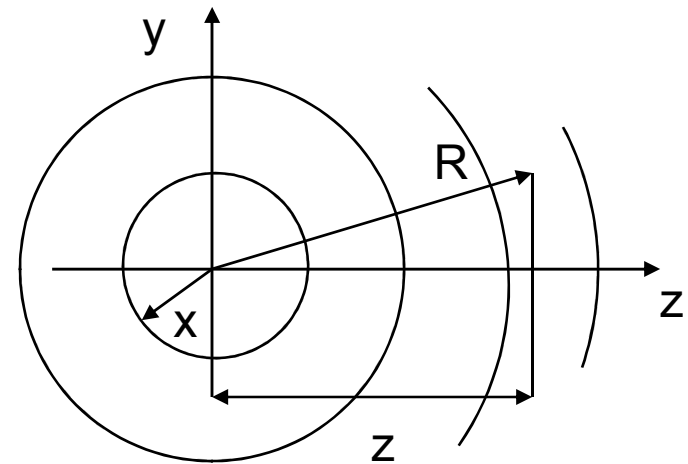


## 3.11 Huygens-Fresnel principle

- Spherical waves:

- Wavelet: 
$$h = \frac{e^{ikR}}{R}$$

- $$R = \sqrt{x^2 + y^2 + z^2} = z \sqrt{1 + \frac{x^2 + y^2}{z^2}}$$



- We are interested close to OA, i.e. small angles

$$\rightarrow R \simeq z \left[ 1 + \frac{1}{2} \left( \frac{x^2 + y^2}{z^2} \right) \right] \quad (3.39)$$



## 3.11 Huygens-Fresnel principle

- ! For amplitude  $\frac{1}{R} \approx \frac{1}{z}$  is OK

- ! For phase  $kR \approx kz \left[ 1 + \frac{1}{z} \left( \frac{x^2 + y^2}{z} \right) \right]$

→ The wavelet becomes:

$$h(x, y) \approx \frac{e^{ikz}}{z} e^{i \frac{k(x^2 + y^2)}{2z}} \quad (3.40a)$$

- Remember, for the lens we found:

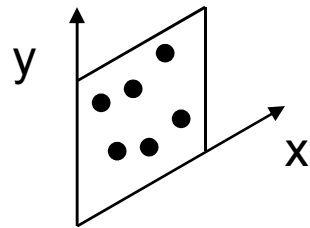
$$t_e(x, y) = e^{i\phi_0} e^{i \frac{k}{2f}(x^2 + y^2)} \quad (3.40b)$$

- Free space acts on the wavefront like a divergent lens  
(note “+” sign in phase)



## 3.11 Huygens-Fresnel principle

- At a given plane, a field is made of point sources

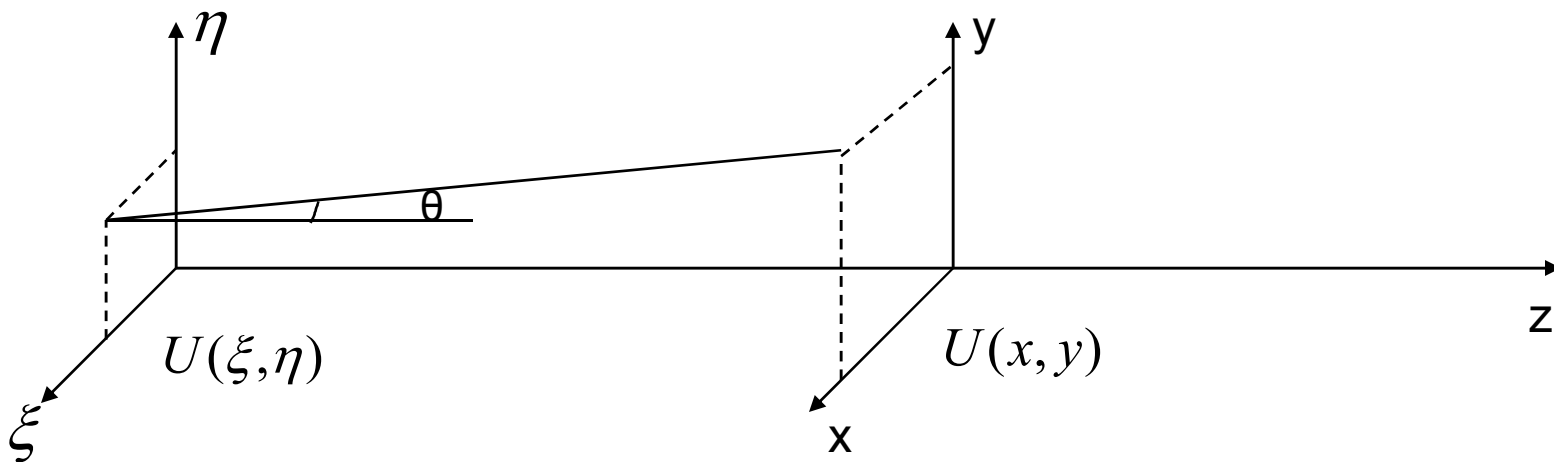


$$E(x, y) = \iint E(x', y') \delta(x - x') \delta(y - y') dx' dy'$$

- Eq 3.40 a-b represent the impulse response of the system (free space or lens)
- Recall linear systems (Chapter 2, page 12, Eq 2.16)
  - Final response (output) is the convolution of the input with the impulse response (or Green's function)
- Nice! Space or time signals work the same!



## 3.11 Huygens-Fresnel principle



$$\begin{aligned}
 U(x, y) &= \iint U(\xi, \eta) h(x - \xi, y - \eta) d\xi d\eta \\
 &= \iint U(\xi, \eta) e^{\frac{ik}{2z} [(x-\xi)^2 + (y-\eta)^2]} d\xi d\eta
 \end{aligned} \tag{3.41}$$





## 3.11 Huygens-Fresnel principle

- Fresnel diffraction equation = convolution
- Fresnel diffraction equation is an approximation  $\left( R = z \left[ 1 + \frac{x^2 + y^2}{2z^2} \right] \right)$  of Huygens principle (17<sup>th</sup> century)

$$U(x, y) = \frac{1}{i\lambda} \iint U(\xi, \eta) \frac{e^{ikR(\xi, \eta)}}{R(\xi, \eta)} \cos \theta(\xi, \eta) d\xi d\eta \quad (3.42)$$

- ! Fresnel is good enough for our purpose
- Note: we don't care about constants A (no x-y dependence)

$$= \iint U(\xi, \eta) e^{\frac{ik}{2z} [(x-\xi)^2 + (y-\eta)^2]} d\xi d\eta$$



## 3.12 Fraunhofer Approximation

- One more approximation (far field)
- The phase factor in Fresnel is:

$$\begin{aligned}\phi(x, y) &= \frac{k}{2z} \left[ (x - \xi)^2 + (y - \eta)^2 \right] = \\ &= \frac{k}{2z} \left[ (x^2 + y^2) + \underbrace{(\xi^2 + \eta^2)}_{\approx 0} - 2(x\xi + y\eta) \right] \quad (3.43)\end{aligned}$$

- If  $z \gg k(\xi^2 + \eta^2)$ , we obtain the Fraunhofer equation:

$$U(x, y) = A \int \int_{-\infty}^{\infty} U(\xi, \eta) e^{-\frac{2\pi}{\lambda z}(x\xi + y\eta)} d\xi d\eta \quad (3.44)$$

- Thus eq. 3.44 defines a Fourier transform
- Useful to calculate diffraction patterns !

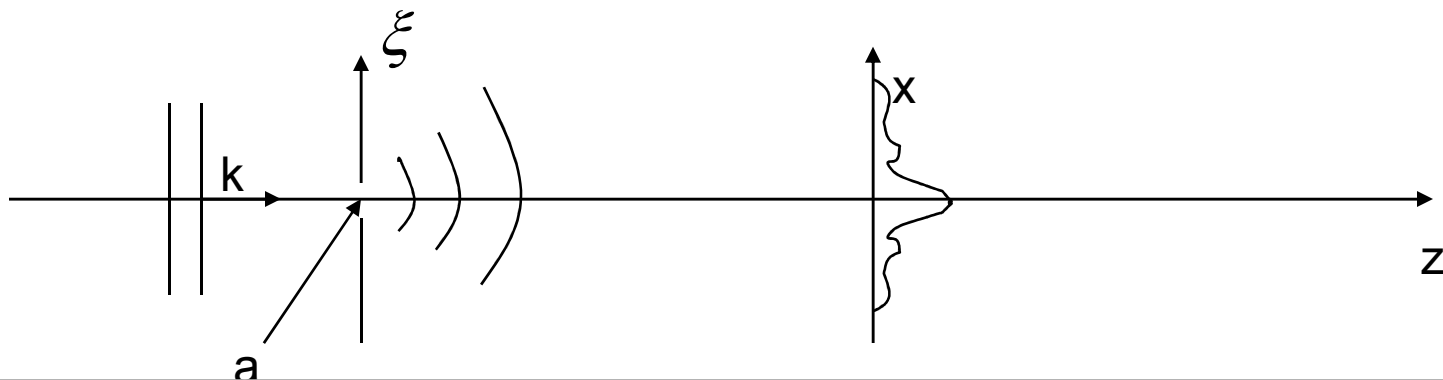


## 3.12 Fraunhofer Approximation

- Let's define: 
$$\begin{cases} f_x = \frac{x}{\lambda z} \\ f_y = \frac{y}{\lambda z} \end{cases}$$

$$\rightarrow U(f_x, f_y) = \iint_{-\infty}^{\infty} U(\xi, \eta) \cdot e^{-i2\pi(\xi f_x + \eta f_y)} d\xi d\eta \quad (3.45)$$

- Example: diffraction on a slit





## 3.12 Fraunhofer Approximation

- One dimensional:  $U(x) = \Pi\left(\frac{x}{a}\right) = \begin{cases} a, & |x| < a/2 \\ 0, & \text{rest} \end{cases}$

- The far-field is given by Fraunhofer eq:

$$U(f_x) = \int_{-\infty}^{\infty} U(x) e^{-i2\pi x f_x} dx =$$

$$= \mathfrak{F}\left[\Pi\left(\frac{x}{a}\right)\right] =$$

- Similarity Theorem +  $\mathfrak{F}[\Pi(x)] = \text{sinc}(f_x)$  :

$$\rightarrow U(f_x) = a \text{sinc}(af_x) =$$

$$= a \frac{\text{sinc}(af_x)}{af_x} \quad (3.46)$$

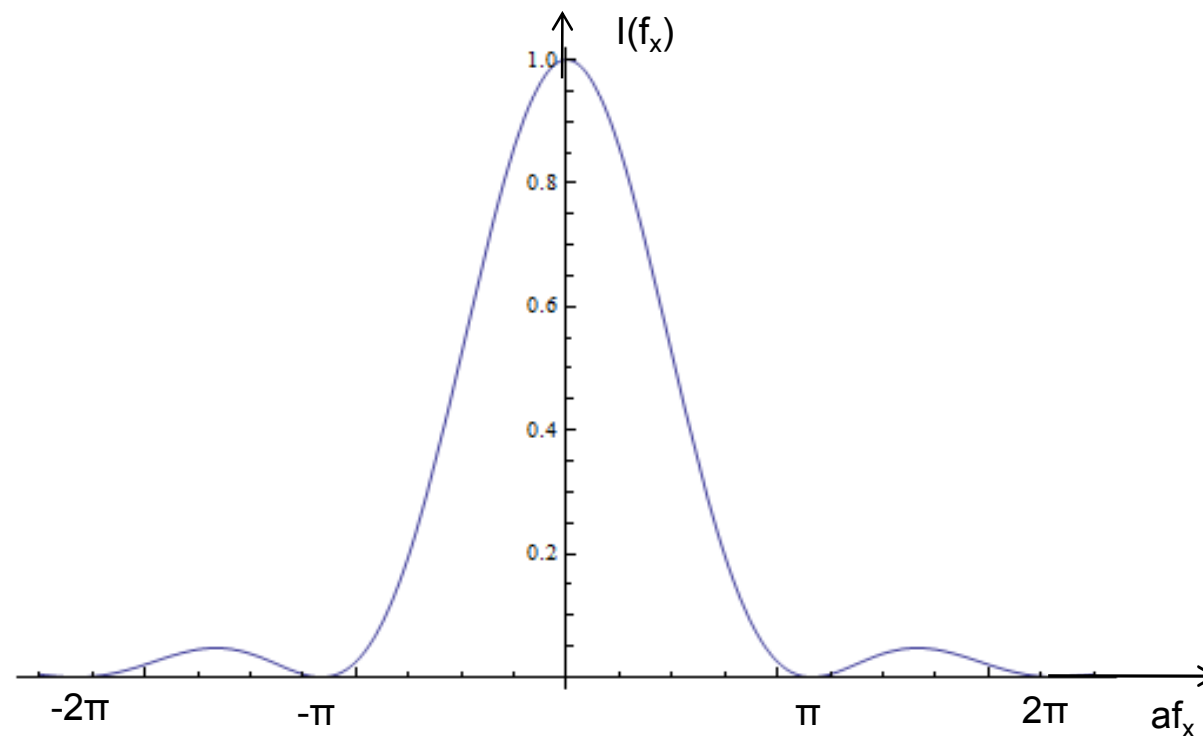
- $f_x = \frac{x}{\lambda z}$



## 3.12 Fraunhofer Approximation

- Always measure intensity  $\rightarrow$  the diffraction pattern is:

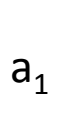

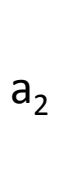
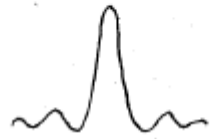
$$I(f_x) = |U(f_x)|^2 = a^2 \left[ \frac{\sin(af_x)}{af_x} \right]^2 \quad (3.48)$$





## 3.12 Fraunhofer Approximation

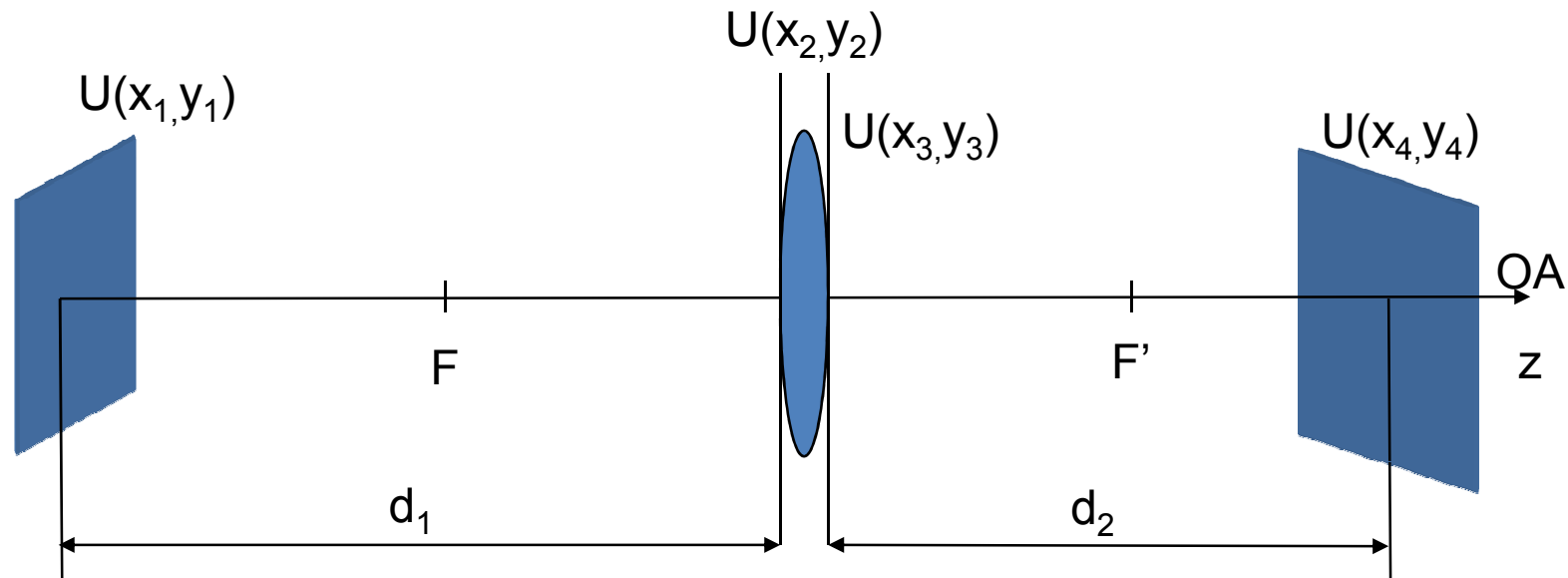
- Note:  $\sin(af_x) = \sin\left(\frac{f_x}{\frac{1}{a}}\right) \rightarrow \frac{1}{a} = \text{width of diffraction pattern}$

- narrow slit:  $a_1$    $\rightarrow$  
- wide slit:  $a_2$    $\rightarrow$  

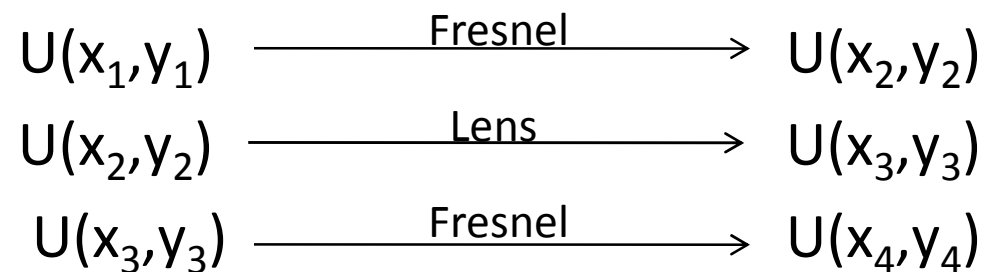
- Similarity Theorem  $\leftrightarrow$  uncertainty principle



## 3.13 Fourier Properties of lenses



- Propagation:





## 3.13 Fourier Properties of lenses

- $$U(x_2, y_2) = A_{12} \iint U(x_1, y_1) e^{\frac{ik}{2d_1} [(x_2 - x_1)^2 + (y_2 - y_1)^2]} dx_1 dy_1$$
- $$U(x_3, y_3) = A_{23} U(x_3, y_3) e^{-i\frac{k}{2f} [x_3^2 + y_3^2]}$$
- $$U(x_4, y_4) = A_{34} \iint U(x_3, y_3) e^{\frac{ik}{2d_2} [(x_4 - x_3)^2 + (y_4 - y_3)^2]} dx_3 dy_3$$

(3.49)

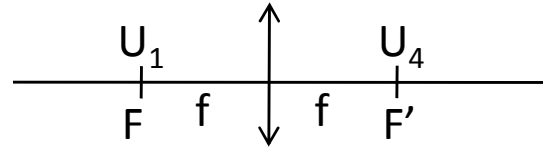
- Combining Eqs (3.49) is a little messy, but there is a special case when eqs simplify → very useful





## 3.13 Fourier Properties of lenses

- If  $d_1 = d_2 = f$



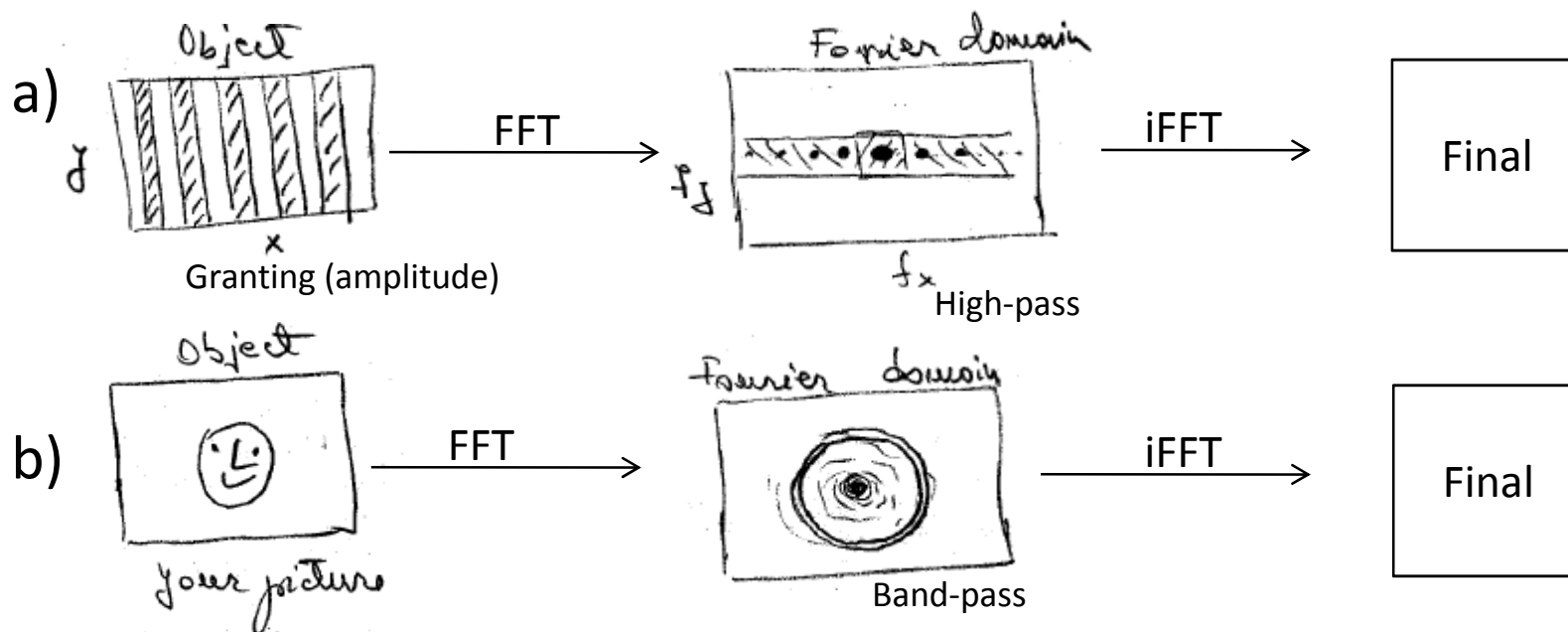
$$\left\{ \begin{array}{l} U(x_4, y_4) = A_{41} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U_1(x_1, y_1) e^{-i2\pi(x_1 f_x + y_1 f_y)} dx_1 dy_1 \\ f_x = \frac{x_4}{\lambda f}; f_y = \frac{y_4}{\lambda f} \end{array} \right. \quad (3.50)$$

- Same eq as (3.45); now  $z \rightarrow f$
- Lenses work as Fourier transformers
  - Useful for spatial filtering



## 3.13 Fourier Properties of lenses

- Exercise: Use Matlab to FFT images (look “fft2” in help)



- Note the relationship between the frequencies passed and the details / contrast in the final image